

# CURRICULUM VITAE – Defeng Sun

CHAIR PROFESSOR OF APPLIED OPTIMIZATION AND OPERATIONS RESEARCH & HEAD OF DEPARTMENT

Department of Applied Mathematics, The Hong Kong Polytechnic University, Hong Kong, China

☎ (+852) 2766 6935 | ✉ defeng.sun@polyu.edu.hk | 🏠 <https://www.polyu.edu.hk/ama/profile/dfsun>

## Education

---

- Ph.D. in Operations Research, Chinese Academy of Sciences, P.R. China, March 1995
- M.S. in Optimization, Nanjing University, P.R. China, July 1992
- B.S. in Computational Mathematics, Nanjing University, P.R. China, July 1989

## Employment History

---

- July 1, 2019 –, Head of Department, Department of Applied Mathematics, The Hong Kong Polytechnic University, Hong Kong, China.
- August 1, 2017 –, Chair Professor of Applied Optimization and Operations Research, Department of Applied Mathematics, The Hong Kong Polytechnic University, Hong Kong, China.
- July 2009 – July 2018, Professor, Department of Mathematics, National University of Singapore, Republic of Singapore (on leave during the 2017/18 academic year).
- August 2009 – August 2014, Deputy Director (Research), Risk Management Institute, National University of Singapore, Republic of Singapore.
- January 2008 – July 2008, Acting Deputy Director (Research), Risk Management Institute, National University of Singapore, Republic of Singapore.
- January 2006 – June 2009, Associate Professor with tenure, Department of Mathematics, National University of Singapore, Republic of Singapore.
- December 2000 – December 2005, Assistant Professor, Department of Mathematics, National University of Singapore, Republic of Singapore.
- July 1995 – December 2000, Visiting Fellow/Postdoctoral Research Associate/Australian Postdoctoral Fellow at School of Mathematics, the University of New South Wales, Australia.
- September 1990 – January 1991, Tutor at Department of Mathematics, Nanjing University, P.R. China.

## Current Research Interests

---

- Convex Optimization: Theory, Algorithms, and GPU Acceleration
- Machine Learning & AI
- Variational Convexity and Local Monotonicity Analysis
- Statistical Optimization and Learning

## Honours

---

- Elected as an [AMS Fellow](#) in the 2026 class by American Mathematical Society.
- Elected as an [ORSC Fellow](#) in 2024 by Operations Research Society of China.
- Awarded the [RGC Senior Research Fellow 2022/23](#) by RGC.
- Elected as an inaugural [CSIAM Fellow](#) in 2020 by China Society for Industrial and Applied Mathematics.
- Elected as a [SIAM Fellow](#) in 2020 by Society for Industrial and Applied Mathematics.
- Awarded the triennial [Beale–Orchard-Hays Prize](#) for Excellence in Computational Mathematical Programming 2018 by [the Mathematical Optimization Society](#), jointly with Professor Kim-Chuan Toh and Dr Liuqin Yang, for our work on SDPNAL+, a solver for large-scale semidefinite programming, at Bordeaux, France, July 2-6, 2018. The first Asian team to win it since the establishment of the award in 1985.
- Received the “Distinguished Collaborator Award” from both the Hong Kong Research Center and Huawei Noah’s Ark Lab, Huawei Technologies Co. Ltd. in 2021.

- The Inaugural Distinguished Alumnus, Academy of Mathematical and System Science, Chinese Academy of Sciences, December 2024.
- Awarded the [The 2022 Operations Research \(OR\) Application Award \(Ranked No. 3 after Drs Xinyuan Zhao \(BJUT\) and Chao Ding \(CAS\)\)](#) by the OR Society of China.
- Plenary speaker for “[The Seventh International Conference on Continuous Optimization \(ICCOPT 2022\)](#)”, Lehigh University in Bethlehem, Pennsylvania, USA, July 25–28, 2022.
- Plenary speaker at [SIAM Conference on Computational Science and Engineering \(CSE21\)](#), Fort Worth, Texas, USA, March 1-5, 2021.
- Co-author of the paper that won [Xudong Li the Best Paper Prize for Young Researchers in Continuous Optimization 2019](#) in the [Sixth International Conference on Continuous Optimization \(ICCOPT 2019\)](#).
- Plenary speaker at [SIAM Conference on Optimization \(OP11\)](#), Darmstadtium Conference Center, Darmstadt, Germany, May 16–19, 2011. (One of eight plenary speakers)
- The inaugural Outstanding Scientist Award, by Faculty of Science, National University of Singapore, 2007.
- Awarded the Australian Postdoctoral Fellowship (1999–2002) by the Australian Research Council.
- Finalist in “1998 Hong Kong Young Researcher Prize for the best paper presented at the International Conference on Nonlinear Programming and Variational Inequalities”, Hong Kong, 1998.
- Yilida Prize of the Chinese Academy of Sciences, 1995.
- Excellent Prize of the President of the Graduate School at the Chinese Academy of Sciences, 1994.

## Services

---

- Services at the Hong Kong Polytechnic University
  - Head of Department (July 2019–).
  - Director, PolyU-Huawei Mathematical Optimization Innovation Laboratory (September 2025 –).
  - Director, Research Center for Intelligent Operations Research (May 2024 –).
  - Director, The PolyU-SDU Joint Research Center on Financial Mathematics (July 2018–).
  - Vice President, Operations Research Society of China (October 2024 –).
  - President, The Hong Kong Mathematical Society (May 2020 – May 2024).
  - Member of Department Staffing Committee (DSC, July 2017–), Department Management Committee (DMC, July 2017–), Department Research Committee (July 2017–); and Department Learning and Teaching Committee (July 2018–).
- Services at the National University of Singapore
  - Member (one of four) of the task force for the proposal of the new Data Science and Analytics Bachelor Program to be launched in August 2016 (successfully approved by the University in April 2016); and Program Director for proposing the Master of Data Science Program to be launched in 2017 (estimated) at National University of Singapore.
  - Acting program director to Masters of Financial Engineering, Risk Management Institute, National University of Singapore, (March–June, 2014).
  - Deputy Director (Research), Risk Management Institute, National University of Singapore, August 2009–August 2014.
  - Acting Deputy Director (Research), Risk Management Institute, National University of Singapore, January 2008–July 2008.
  - Department Research Committee (Member July 2002–July 2007 and Deputy Chair July 2004–July 2006); Department Curriculum Committee (member July 2005–July 2006); Department Teaching and Evaluation Committee (member July 2006–July 2007); Department Colloquium Committee (member January 2007–July 2008); Department Graduate Committee (member January 2007–January 2008); Department Search Committee (member July 2007–July 2008); National University of Singapore.
  - The Optimization Research Bridge (ORB), member, July 2003–present.

## Professional Activities

---

- The 2025 ICCOPT Steering Committee of the Mathematical Optimization Society (MOS)
- Immediate Past President, The Hong Kong Mathematical Society (May 2024–)
- Organizing Committee Co-Chair and Local Organizing Committee Co-Chair, “SIAM Conference on Optimization (OP20)”, The Hong Kong Polytechnic University, Hong Kong, May 2020. Rescheduled and held in July 2021 in USA due to Covid-19
- Program Committee Member, “Sixth International Conference on Continuous Optimization”, Berlin, August 2019 and “Fifth International Conference on Continuous Optimization”, Tokyo, August 2016
- Program Committee, “Fifth International Conference on Continuous Optimization”, Tokyo, Japan, 2016
- Editorial Board (as Associate Editor): Mathematical Programming, Series A, August 2007 –
- Editorial Board (as Associate Editor): SIAM Journal on Optimization, January 2012 –
- Editorial Board (as Associate Editor): Journal of the Operations Research Society of China, 2012–
- Editorial Board (as Associate Editor): Journal of Computational Mathematics, July 2017–
- Editorial Board (as Associate Editor): Science China: Mathematics, January 2018 –
- Member of the Advisory Committee: Asia-Pacific Journal of Operational Research, January 2014–; Editor-in-Chief, October 2010 – December 2013.
- Editorial Board (as Associate Editor): Mathematical Programming, Series B, January 2014 – December 2017.
- Editorial Board (as Associate Editor): Journal of Optimization Theory and Applications, July 2021 –
- Refereeing for Journals: Mathematical Programming, Mathematics of Operations Research, SIAM Journal on Optimization, Operations Research, etc.

## Memberships

---

- SIAM
- INFORMS
- Mathematical Optimization Society
- Singapore Mathematical Society (life member)
- Singapore Operational Research Society (life member)
- China Operations Research Society (life member)
- CSIAM (life member)
- American Mathematical Society

## Research Grants as PI or Co-PI

---

- Director, PolyU-Huawei Mathematical Optimization Innovation Laboratory. Source: Huawei Technologies Co. Ltd. Duration: September 2025– September 2028. Amount: HK\$10,500,000.00++
- Principal Investigator, “Stability analysis of the KKT solution mapping for a class of nonlinear optimization problems”, Source: General Research Fund (GRF) from the Research Grants Council, Hong Kong. Duration: September 2025–August 2028. Amount: HK\$890,587
- Principal Investigator, “Solving Linear Relaxation Problems of Mixed Integer Programming”. Source: Huawei Technologies Co. Ltd. Duration: January 2025– January 2026. Amount: HK\$1,809,650.00++
- Co-Principal Investigator, “Mechanisms and Key Technologies of Multi-Sensory Emulation Wearable Devices (MSEWD)”, Source: Theme-based Research Scheme 2024/25 from the Research Grants Council, Hong Kong. Duration: 2025 – 2029. PC: Professor TAO Xiao-Ming (SFT, PolyU). Total amount: HK\$ 62,378,000. (My share as the PI of a child project: HK\$ 2,890,000)
- Principal Investigator, “On the strong semismoothness of the projection onto the intersection of closed convex sets and its applications”, Source: General Research Fund (GRF) from the Research Grants Council, Hong Kong. Duration: 2025–2027. Amount: HK\$910,742
- Principal Investigator, “Nonsmooth equations: Sparsity, singularity, and smoothing Newton methods”, Source: General Research Fund (GRF) from the Research Grants Council, Hong Kong. Duration: 2024–2026. Amount: HK\$877,079
- Principal Investigator, “Nonlinear Conic Programming: Theory, Algorithms and Software”, Source: RGC Senior Research Fellow Scheme (2022/23). Duration: 2023–2027. Amount: HK\$7,798,380

- Principal Investigator, “Solving Large Scale Degenerate Optimization Problems: From the Perspectives of the Augmented Lagrangian Method”. Source: General Research Fund (GRF) from the Research Grants Council, Hong Kong. Duration: 2023–2025. Amount: HK\$1,032,000
- Principal Investigator, “Large scale linear programming solver”. Source: Huawei Technologies Co. Ltd. Duration: March 2022– June 2025. Amount: HK\$2,593,324++
- Principal Investigator, “Adaptive sieving techniques for nonconvex optimization problems with applications to model compression”. Source: General Research Fund (GRF) from the Research Grants Council, Hong Kong. Duration: 2022–2024. Amount: HK\$706,015
- Principal Investigator, “Efficient sparse smoothing Newton methods with low complexities for optimal transport problems”. Source: General Research Fund (GRF) from the Research Grants Council, Hong Kong. Duration: 2021–2023. Amount: HK\$599,861
- Principal Investigator, “Fast Optimal Transport Algorithms for Communications and Networking”. Source: Huawei Technologies Co. Ltd. Duration: May 2020–May 2024. Amount: HK\$1,500,000++
- Principal Investigator, “Solving large scale linear programming models for production planning”. Source: Huawei Technologies Co. Ltd. Duration: January 2020–June 2025. Amount: HK\$3,860,217
- Principal Investigator, “Stochastic Optimal Control Theory under Model Uncertainty and Their Applications in Financial Risk Management”. Source: The NSFC/RGC JRS. Duration: 2020–2023. Amount: HK\$970,398
- Principal Investigator, “Efficient multi-majorization-minimization methods for multi-difference-of-convex programming”. Source: General Research Fund (GRF) from the Research Grants Council, Hong Kong. Duration: 2020–June 2023. Amount: HK\$502,444
- Principal Investigator, “Explicit finite element general contact algorithm and software development based on GPU parallel computing”. Source: Research Institute of Tsinghua, Pearl River Delta, Guangzhou, China. Duration: August 2020–December 2023. Amount: HK\$568,600
- Principal Investigator, “Optimization Models and Fast Algorithms for Large Scale Data Clustering Problems”. Source: the Open Research Fund from Shenzhen Research Institute of Big Data, Shenzhen, China. Duration: 2019–2022. Amount: CNY1,300,000
- Principal Investigator, “Efficient Methods for Large Scale Convex Composite Optimization Problems with Double Regularizers”. Source: General Research Fund (GRF) from the Research Grants Council, Hong Kong. Duration: 2019–2021. Amount: HK\$304,301
- Principal Investigator, “Optimization models and algorithms for feature extractions of financial big data”. Source: The Hong Kong Polytechnic University Postdoctoral Fellowships Scheme 2017 [for Xiaoliang Song]. Duration: 2018–2020. Amount: HK\$695,400
- Principal Investigator, “Exploiting the Second Order Nonsmoothness to Design Efficient Methods for Large Scale Composite Optimization Problems”. Source: Start-up fund from the Hong Kong Polytechnic University. Duration: 2017–2020
- Principal Investigator, “Research on Correlation Matrices in Financial Risk Management”. Source: Institute of Risk Management, National University of Singapore. Duration: 2015 – 2018. Amount: SG\$60,000
- Principal Investigator, “Practical Augmented Lagrangian Methods with Indefinite Proximal Terms”. Source: Academic Research Fund, National University of Singapore. Duration: December 2014–December 2017. Amount: SG\$141,400
- Principal Investigator (co-PI from 2015 to July 2016), “Future Resilient System Module 1.1.2 Hidden Interdependencies”. Source: the Singapore-ETH Centre (SEC) funded by MOE and NRF. Duration: 2015–2019. Amount: SG\$642,000
- Co-Principal Investigator, “Large Scale Convex Matrix Optimization: Theory, Algorithms, and Applications”. Source: Academic Research Fund, Ministry of Education, Republic of Singapore. Duration: April 2014–March 2017. Amount: SG\$592,129
- Co-Principal Investigator, “Algorithm for Design of Compliant Mechanisms”. Source: SUTD-MIT International Design Center (IDC), Singapore University of Technology and Design. Duration: August 2013– July 2014. Amount: SG\$99,940
- Principal Investigator, “Structured Matrix Rank Optimization Problems”. Source: Academic Research Fund,

- National University of Singapore. Duration: November 2011–November 2014. Amount: SG\$75,126
- Principal Investigator, “Nonlinear Conic Optimization” Source: Academic Research Fund, National University of Singapore. Duration: July 2007–June 2010. Amount: SG\$105,509
- Principal Investigator, “Constrained Linear Quadratic Optimal Control”. Source: Academic Research Fund, National University of Singapore. Duration: July 2004–August 2007. Amount: SG\$25,538
- Principal Investigator, “Newton’s Method for Solving Semismooth Optimization Problems”. Source: Seed Funding for Faculty of Science Research Projects, National University of Singapore. Duration: November 2001–October 2003. Amount: SG\$82,484
- Principal Investigator, “Computational Methods for Constrained Nonsmooth Equations”. Source: Australian Research Council (Australian Postdoctoral Fellowship Category). Duration: 3 years from 1999 to 2002. Amount: AU\$150,000. A supplementary grant to the fellowship: AU\$18,000. (I resigned later due to my move to NUS)

### Selected Invited Conference and Workshop Presentations (Partial)

---

- “The International Symposium on Mathematical Programming (ISMP)”, to be held at Amsterdam, Netherlands. July 25-30, 2027. Plenary.
- “The 2025 Annual Meeting of the China Society for Industrial and Applied Mathematics (CSIAM)”, Changsha, China. October 23-26, 2025. Plenary.
- “The 2024 International Workshop on Modern Optimization and Applications (MOA 2024)”, AMSS, Chinese Academy of Sciences, Beijing, China. June 23-25, 2024. Plenary.
- “Nonsmooth Analysis and Sparse Optimization”, The 12th International Workshop on Mathematical Issues in Information Sciences (MIIS’2023), The Chinese University of Hong Kong, Shenzhen, China. December 16–17, 2023. Keynote.
- “Nonsmooth Analysis and Sparse Optimization”, The Third Pacific Optimisation Conference 2023, Sunway University, Malaysia. December 9–11, 2023. Plenary.
- “Nonsmooth Analysis and Sparse Optimization”, The 14th Bi-annual National Conference of Mathematical Optimization Society in China, Chengdu, China. May 12–15, 2023. Plenary.
- “Exploring the Sparsity of Large-scale Statistical Optimization Problems”, The Seventh International Conference on Continuous Optimization (ICCOPT 2022), Lehigh University in Bethlehem, Pennsylvania, USA. July 25–28, 2022. Plenary.
- “Exploring the Second-order Sparsity in Sparse Statistical Optimization Problems”, at the “SIAM Conference on Computational Science and Engineering (CSE21)”, Fort Worth, Texas, USA, March 1-5, 2021. Plenary (held online).
- “Adaptive Sieving with PPDNA for Solving Sparse Statistical Optimization Problems”, at the Annual Conference organized by RAMP (Research Association of Mathematical Programming) from The Operations Research Society of Japan, October 26-27, 2020. Plenary (held online).
- “Convex Composite Quadratic Programming: A Restricted Wolfe Dual and a Symmetric Gauss-Seidel Decomposition Theorem”, at the 15th National Conference of the Operations Research Society of China, Hefei, China, October 11–18, 2020. Plenary (held online).
- “Matrix Cones and Spectral Operators of Matrices”, Advances in the Geometric and Analytic Theory of Convex Cones, Sungkyunkwan University, Korea, May 27–31, 2019. Plenary.
- “Sparse Newton Methods for Large Scale Optimization Problems”, Huawei Strategy and Tech workshop in Shenzhen, China, May 14th–15th 2019. Invited Machine Learning Stream Talk.
- “Sparse Semismooth Newton Methods and Big Data Composite Optimization”, at the International Workshop “Variational Analysis and Related Topics”, Hanoi Pedagogical University 2, Vietnam, December 13–15, 2018. Plenary.
- “New Computing-Driven Opportunities for Optimization”, Wuyishan, August 17, 2018.
- “DIMACS Workshop on ADMM and Proximal Splitting Methods in Optimization”, Rutgers University, June 11–13, 2018
- “A block symmetric Gauss-Seidel decomposition theorem and its applications in big data nonsmooth optimization”, invited plenary talk at The Hong Kong Mathematical Society Annual General meeting 2018, May

- 26, 2018.
- “The Second International Conference on Optimization and Control (ICOCO2015) and the Seventh Australia-China Workshop on Optimization: Theory, Methods and Applications (ACWO2015)”, Chongqing, China, December 7–10, 2015. (Keynote address).
  - “International Workshop on Optimization, Sparsity, and Adaptive Data Analysis”, the Morningside Mathematical Center at Chinese Academy of Sciences, Beijing, China, March 18–22, 2015.
  - “The 2014 Workshop on Optimization for Modern Computation”, Beijing International Center for Mathematical Research, Beijing, China, September 2–4, 2014.
  - “The Second International Conference on Engineering and Computational Mathematics (ECM2013)”, The Hong Kong Polytechnic University, Hong Kong, December 16–18, 2013.
  - “The international conference on Jordan Theory, Analysis and Optimization”, Daejeon, South Korea, May 13–17, 2013.
  - “The 9th Conference of Mathematical Programming Society of China”, Hangzhou, China, April 20-24, 2012. (One of nine invited plenary talks).
  - “SIAM Conference on Optimization (OP11)”, Darmstadtium Conference Center, Darmstadt, Germany, May 16-19, 2011. (One of eight invited plenary talks).
  - “Optimization Workshop II: Numerical Methods for Continuous Optimization”, IPAM, UCLA, USA, October 11 - 15, 2010.
  - “2008 Workshop on Variational Inequalities and complementarity Problems”, July 26, 2008, Zhongshan Hotel, Nanjing, China.
  - “International School of Mathematics ‘Guido Stampacchia’ 46th Workshop: New Problems and Innovative Methods in Nonlinear Optimization”, 31 July–9 August 2007, Erice-Sicily, Italy.
  - “Workshop on Optimization”, December 8–10, 2006, National Taiwan Normal University, Taipei, Taiwan.
  - “Workshop on Optimization and Applications”, July 9–11, 2006, Tsinghua University and Beijing Jiaotong University, China.
  - “The 3rd Sino-Japanese Optimization Meeting (SJOM 2005)”, October 31–November 2, 2005, Orchard Hotel, Singapore.
  - “The Fourth International Conference on Complementarity Problems”, August 9–12, 2005, Stanford University, USA.
  - “2005 Nanjing International Conference on Scientific Computing”, June 4–June 8, 2005, Nanjing Normal University, China.
  - “Workshop of Optimization Theory and Its Applications (WOTA)”, Chinese Academy of Sciences, Beijing, China, January 8–9, 2005.

## Supervision

---

- Research Graduate Students at the Hong Kong Polytechnic University
  - Yijia Liang (PhD Program) January 2026 –
  - Wensen Ma (PhD Program) August 2025 – (co-supervising with Houduo Qi at DSAI)
  - Peiqi Yu (PhD Program) August 2025 –
  - Jun Xiang (joint PhD Program with USTC) September 2024 –August 2025
  - Jundan Ji (PhD Program) August/September 2024 –
  - Jiawei Lu (PhD Program) August/September 2024 –
  - Zhengyang Huang (PhD Program) August/September 2024 –
  - Qilin Lai (PhD Program) August/September 2023 –
  - Xinyu Fan (PhD Program) August/September 2022 –
  - Xiangyu Zhang (PhD Program) August/September 2022 –
  - Jiaming Ma (PhD Program) August/September 2022 –
  - Feisheng Li (PhD Program) August/September 2021 –
  - Xinman Cheng (PhD Program) August/September 2021 –
  - Wangyongquan Zhang (PhD Program) August/September 2021 –
  - Ziqi Yang (joint PhD Program with Harbin Institute of Technology, Shenzhen) August/September 2021 –

- Hui Yan (PhD Program) January /February 2020 – December 2025.
- Chiyu Ma (PhD Program) August/September 2020 – December 2025. Thesis Title: “A Proximal Generation based Level Set Method with Secant Iterations for the Least-Squares Constrained Nuclear Norm Minimization”.
- Kaihuang Chen (PhD Program) August/September 2020 – December 2025. Thesis Title: “Accelerated First Order Methods for Convex Composite Quadratic Programming: Theory, Algorithms, and GPU Implementation”.
- Guojun Zhang (PhD Program) August/September 2020 – December 2025. Thesis Title: “Fast Algorithms for Optimal Transport Problems: Theory, Acceleration, and Extensions”.
- Qian Li (PhD Program) January /February 2020 – March 2025. Thesis Title: “Efficient level set methods for sparse optimization problems with least-squares constraints”.
- Research Fellows/Associates/Assistants (6 months or above) at the Hong Kong Polytechnic University
  - Kaihuang Chen (Postdoctoral Research Fellow; 12 months), March 2026–
  - Hao Zhang (Postdoctoral Research Fellow; 12 months), February 2026–
  - Guojun Zhang (Postdoctoral Research Fellow; 12 months), January 2026–
  - Yanming Lai (Postdoctoral Research Fellow; 12 months), August 2025–
  - Hailing Wang (Postdoctoral Research Fellow; 12 months), August 2025–
  - Shichen Liao (Postdoctoral Research Fellow; 12 months), July 2025–
  - Qian Li (Postdoctoral Research Fellow; 12 months), June 2025–
  - Bo Yang (Postdoctoral Research Fellow; 6 months), March 2025–September 2025
  - Ruoning Chen (Research Assistant; 12 months), February 2025– February 2026
  - Wei Zhao (Research Assistant; 24 months), February 2025–
  - Zhengjian Bai (Senior Research Fellow; 12 months), September 2024–August 2025
  - Weimi Zhou (Research Assistant; 12 months), August 2024–July 2025
  - Qi Wang (Postdoctoral Research Fellow; 24 months), July 2024–
  - Yan Liu (Research Fellow; 12 months), July 2024–June 2025
  - Jiahong Li (Postdoctoral Research Fellow; 24 months), July 2024–
  - Zhenting Luan (Postdoctoral Research Fellow; 24 months), August 2024–
  - Zheng Qu (Senior Research Fellow; 15 months), March 2024–June 2025
  - Jintao Xu (Postdoctoral Research Fellow; 20 months), February 2024–September 2025
  - Xixi Jia (Postdoctoral Research Fellow; 12 months), December 2023– December 2024
  - Zhechen Zhang (Research Associate; 6 months), December 2023–May 2024
  - Can Wu (Postdoctoral Research Fellow; 12 months), September 2023–September 2024
  - Shulan Zhu (Research Assistant; 6 months), August 2023–January, 2024
  - Ruoning Chen (Research Assistant; 12 months), August 2023–August 2024
  - Wei Zhao (Research Assistant; 12 months), August 2023–August 2024
  - Shuhui Liu (Postdoctoral Research Fellow; 36 months), June 2023–
  - Zhenting Luan (Research Assistant; 14 months), June 2023–July 2024
  - Yang Chen (Research Associate; 7 months), June 2023–December 2023
  - Xiaoyu Zhang (Postdoctoral Research Fellow; 12 months), April 2023–April 2024
  - Bo Yang (Research Associate; 24 months), March 2023–March 2025
  - Yan Li (Research Associate; 8 months), February 2023–September 2023
  - Zhen Zhang (Research Assistant; 6 months), April 2022–October 2022
  - Zhuoxuan Jiang (Postdoctoral Research Fellow; 30 months), November 2021–May 2024
  - Wenqiang Li (Postdoctoral Research Fellow; 12 months), September 2021–August 2022
  - Zhenzhi Qin (Research Assistant; 12 months), July 2021–July 2022
  - Yishuai Niu (Research Fellow; 12 months), December 2021–December 2022
  - Min Zhang (Postdoctoral Research Fellow; 24 months), July 2021–July 2023
  - Bo Yang (Research Assistant; 6 months), June 2021–December 2021
  - Yancheng Yuan (Postdoctoral Research Fellow; 12 months), October 2020–April 2021
  - Shengtao Cao (Postdoctoral Research Fellow; 12 months), October 2020–October 2021

- Xiaoliang Song (Postdoctoral Research Fellow; 24 months), September 2018–September 2020.
- Ning Zhang (Postdoctoral Research Fellow), November 2018–July 2019.
- Liang Chen (Postdoctoral Research Fellow), September 2017–September 2019
- Research Graduate Students at National University of Singapore
  - Meixia Lin (PhD program) August 2016–July 2018
  - Yancheng Yuan (PhD program) August 2015–July 2018
  - Xin Yee Lam (PhD program) August 2014–July 2018 (co-supervising with K.-C. Toh).
  - Yangjing Zhang (PhD program) August 2014–July 2018
  - Bo Chen (PhD program) August 2013–July 2018.
  - Han Guo (PhD program) August 2012– July 2017. Thesis Title: “The metric subregularity of KKT solution mappings of composite conic programming”.
  - Ying Cui (PhD program) August 2011 – January 2016. Thesis Title: “Large scale composite optimization problems with coupled objective functions: theory, algorithms and applications”. Her thesis is awarded the Louis Chen Hsiao Yun Best Dissertation Prize in July 2018 at the National University of Singapore.
  - Mengyu Du (PhD program) August 2010 – August 2015. Thesis Title: “An inexact alternating direction method of multipliers for convex composite conic programming with nonlinear constraints”.
  - Xudong Li (PhD program) August 2010–January 2015. Thesis Title: “A two-phase augmented Lagrangian method for convex composite quadratic programming”.
  - Jing Yang (master program) August 2012–August 2014. Thesis Title: “A general framework for structure decomposition in high-dimensional problems”.
  - Chenglong Bao (PhD program) July 2009–August 2014 (co-supervising with Hui Ji). Thesis Title: “Sparse coding based image restoration and recognition: algorithms and analysis”.
  - Bin Wu (PhD program) August 2008–January 2014. Thesis Title: “High-dimensional analysis on matrix decomposition with application to correlation matrix estimation in factor models”.
  - Weimin Miao (PhD program) August 2007–January 2013. Thesis Title: “Matrix completion models with fixed basis coefficients and rank regularized problems with hard constraints”.
  - Chao Ding (PhD program) August 2007– January 2012. Thesis Title: “An introduction to a class of matrix optimization problems”.
  - Xiaoquan Chen (graduate program) August 2009–August 2011. Thesis Title: “A penalty method for correlation matrix problems with prescribed constraints”.
  - Zhe Yang (graduate program) August 2007–August 2009. Thesis Title: “A study on nonsymmetric matrix-valued functions”.
  - Yanying Luo (master student) January 2007–January 2010. Thesis Title: “A smoothing Newton-Bicgstab method for least squares matrix nuclear norm problems”.
  - Yan Gao (PhD program) July 2006–August 2010. Thesis Title: “Structured low rank matrix optimization problems: A penalized approach”.
  - Xinyuan Zhao (PhD program) July 2006–August 2009 (co-supervising with K C Toh). Thesis Title: “A semismooth Newton-CG augmented Lagrangian method for large scale linear and convex quadratic SDPs”.
  - Yidi Chen (master student) July 2005–July 2008. Thesis Title: “An inexact SQP Newton method for convex SC1 minimization problems”.
  - Zheng Zheng (master student) July 2003– May 2005. Thesis Title: “A smoothing Newton method for the boundary-valued ODEs”.
  - Qi Yu (master student) July 2003–August 2005. Thesis Title: “Smoothing approximations for two classes of convex eigenvalue optimization problems”.
  - Shengyuan Shi (master student) July 2002–July 2004. Thesis Title: “Smooth convex approximation and its Applications”.
  - Jinye Zhao (master student) July 2002–July 2004. Thesis title: “The smoothing function of the nonsmooth matrix valued function”.
- Research Fellows/Associates/Assistants at National University of Singapore
  - Liang Chen (research fellow) February 2017–July 2017 (co-supervising with K C Toh).
  - Ning Zhang (Research Fellow) June 2015–June 2017 (co-supervising with K C Toh, Huan Xu (June 2015

- August 2016))
- Ying Cui (research assistant/associate/fellow) August 2015–July 2017 (co-supervising with K C Toh).
- Xudong Li (research assistant/associate/fellow) February 2015–July 2017 (co-supervising with K C Toh).
- Shenglong Hu (research fellow) September 2014–August 2015 (co-supervising with K C Toh).
- Jia Wu (research fellow) August 2014–July 2015 (co-supervising with K C Toh).
- Min Li (research fellow) July 2014–November 2014 (co-supervising with K C Toh).
- Bin Wu (research associate) April 2014– (co-supervising with K C Toh).
- Bin Wu (research assistant) August 2012–June 2013.
- Chengjing Wang (research fellow) October 2007–June 2010 (co-supervising with K C Toh).
- Yongjin Liu (research fellow) June 2006–January 2010 (co-supervising with K C Toh).
- Qiaoming Han (postdoctoral fellow) 1.5 months, 2003.
- Zhenghai Huang (postdoctoral fellow) June 2002–June 2003.

### Doctoral Thesis Committees (partial)

---

- Andre Manfred Milzarek, Technical University of Munich, 2016.
- LE THI KHANH HIEN, Nanyang Technological University, 2015.
- Zirui Zhou, The Chinese University of Hong Kong, 2015.
- Lei Wu, Hunan University, 2013.
- Internal thesis committees after 2005 are not recorded any more.
- Joo Siong Chai, HPCES, Singapore-MIT Alliance, November 2005.
- Chee Khian Sim, Department of Mathematics, National University of Singapore, December 2004.
- Zhi Cai, HPCES, Singapore-MIT Alliance, October 2004.
- Fanwen Meng, Department of Mathematics, National University of Singapore, 2003.

### Courses Taught

---

- Courses taught at the The Hong Kong Polytechnic University
  - AMA615 Nonlinear Optimization Methods, Semester 1, 2020/2021, at Department of Applied Mathematics, The Hong Kong Polytechnic University.
  - AMA542 Advanced Operations Research Methods (S2, 2018/2019; S2, 2019/2020), at Department of Applied Mathematics, The Hong Kong Polytechnic University.
  - AMA502 Operations Research Methods (S1, 2018/2019), at Department of Applied Mathematics, The Hong Kong Polytechnic University.
  - AMA542 Advanced Operations Research Methods (S2, 2017/2018), at Department of Applied Mathematics, The Hong Kong Polytechnic University.
- Courses taught at National University of Singapore
  - MA5243 Advanced Mathematical Programming (S1, 2016/2017), at Department of Mathematics, National University of Singapore.
  - MA4260 Stochastic Operations Research (S1, 2015/2016; S2, 2016/2017), at Department of Mathematics, National University of Singapore.
  - MA6253 Conic Programming (S2, 2014/2015; 2010), at Department of Mathematics, National University of Singapore.
  - MA4254 Discrete Optimization (S2, 2000/2001–2007/2008, 2011/2012), at Department of Mathematics, National University of Singapore.
  - MA4253 Mathematical Programming (S1, 2001/2002; S1, 2002/2003; S1, 2003/2004).
  - MA4260 Model Building in Operations Research (S2, 2001/2002; S1, 2004/2005–2006/2007), at Department of Mathematics, National University of Singapore.
  - MA5232 Modeling and Numerical Simulations (S2, 2007/2008; co-lecturer), at Department of Mathematics, National University of Singapore.

### Optimization Software

---

- **HPR-LP, HPR-QP, and HOT**: open-source GPU Solvers for Linear Programming, Convex Composite Quadratic

- Programming, and Optimal Transport.
- [Matlab/C/R/Python codes](#), based on inexact semismooth Newton methods, for computing the nearest correlation matrix problems. The codes are widely used by the financial industry and have been incorporated into [NAG’s nearest correlation matrix library](#).
  - [SDPNAL/SDPNAL+](#): a MATLAB software package for large scale semidefinite programming with equality/inequality constraints and bound constraints (awarded the triennial [Beale–Orchard-Hays Prize](#) by the Mathematical Optimization Society in 2018).
  - [SuiteLasso](#): a MATLAB suite for regression problems with generalized Lasso regularizers.
  - [QSDPNAL](#): a MATLAB software for convex quadratic semidefinite programming with inequality, equality and bound constraints.
  - [ConvexClustering](#): a MATLAB package for convex clustering.
  - [square-Root-PM](#): A MATLAB software for square-root regression problems (GitHub).

## Publications

---

### A. Papers submitted

- [R22] Chiyu Ma, Jiaming Ma, and Defeng Sun, “A level set method with secant iterations for the least-squares constrained nuclear norm minimization”, arXiv:2603.12812 (March 2026).
- [R21] Yuling Jiao, Yanming Lai, Huazhen Lin, Wensen Ma, Houduo Qi, and Defeng Sun, “Beyond the prompt in large language models: comprehension, in-context learning, and chain-of-thought”, arXiv:2603.10000 (March 2026).
- [R20] Maojun Sun, Yue Wu, Yifei Xie, Ruijian Han, Binyan Jiang, Defeng Sun, Yancheng Yuan, and Jian Huang, “DARE: Aligning LLM agents with the R statistical ecosystem via distribution-aware retrieval”, arXiv:2603.04743 (March 2026).
- [R19] Yanming Lai and Defeng Sun, “Standard transformers achieve the minimax rate in nonparametric regression with targets”, arXiv:2602.20555 (February 2026).
- [R18] Maojun Sun, Yifei Xie, Yue Wu, Ruijian Han, Binyan Jiang, Defeng Sun, Yancheng Yuan, and Jian Huang, “DSAEval: Evaluating Data Science Agents on a Wide Range of Real-World Data Science Problems”, arXiv:2601.13591 (January 2026).
- [R17] Shuhui Liu, Xintian Liu, Chenchen Mou, and Defeng Sun, “The global well-posedness for master equations of mean field games of controls”, arXiv:2601.11588 (January 2026).
- [R16] Zhangcheng Feng, Defeng Sun, Yancheng Yuan, and Guojun Zhang, “dHPR: A Distributed Halpern Peaceman–Rachford Method for Non-smooth Distributed Optimization Problems”, arXiv:2511.10069 (November 2025).
- [R15] Zheng Qu, Defeng Sun, and Jintao Xu, “Progressive bound strengthening via doubly nonnegative cutting planes for nonconvex quadratic programs”, arXiv:2510.02948 (October 2025).
- [R14] Ruoning Chen, Defeng Sun, and Liping Zhang, “On error bounds for rank-constrained affine matrix sets”, arXiv:2510.01709 (October 2025).
- [R13] Kaihuang Chen, Defeng Sun, Yancheng Yuan, Guojun Zhang, and Xinyuan Zhao, “On the relationships among GPU-accelerated first-order methods for solving linear programming”, arXiv:2509.23903 (September 2025).
- [R12] Jiaming Ma and Defeng Sun, “The Aubin property for generalized equations over  $C^2$ -cone reducible sets”, arXiv:2509.14194 (September 2025).
- [R11] Ruoning Chen, Jiaming Ma, and Defeng Sun, “On the  $p$ -order semismoothness of the metric projection onto slices of the positive semidefinite cone”, arXiv:2509.03977 (September 2025).
- [R10] Kaihuang Chen, Defeng Sun, Yancheng Yuan, Guojun Zhang, and Xinyuan Zhao, “HPR-QP: A dual Halpern Peaceman-Rachford method for solving large-scale convex composite quadratic programming”, arXiv:2507.02470 (July 2025).
- [R9] Chuan He, Zhaosong Lu, Defeng Sun, and Zhanwang Deng, “Complexity of normalized stochastic first-order methods with momentum under heavy-tailed noise”, <https://arxiv.org/abs/2506.11214> (June 2025).
- [R8] Yuling Jiao, Yanming Lai, Defeng Sun, Yang Wang, and Bokai Yan, “Approximation Bounds for Trans-

- former Networks with Application to Regression”, <https://arxiv.org/abs/2504.12175> (April 2025).
- [R7] Yuling Jiao, Wensen Ma, Defeng Sun, Hansheng Wang, and Yang Wang, “Distribution Matching for Self-Supervised Transfer Learning”, arXiv:2502.14424 (February 2025).
- [R6] Kaihuang Chen, Defeng Sun, Yancheng Yuan, Guojun Zhang, and Xinyuan Zhao, “Peaceman-Rachford splitting method converges ergodically for solving convex optimization problems”, arXiv:2501.07807 (January 2025).
- [R5] Xiaoyu Zhang, Di Wang, Guodong Li, and Defeng Sun, “Robust and optimal tensor estimation via robust gradient descent”, (November 2024).
- [R4] Xijun Li, Fangzhou Zhu, Hui-Ling Zhen, Weilin Luo, Meng Lu, Yimin Huang, Zhenan Fan, Zirui Zhou, Yufei Kuang, Zhihai Wang, Zijie Geng, Yang Li, Haoyang Liu, Zhiwu An, Muming Yang, Jianshu Li, Jie Wang, Junchi Yan, Defeng Sun, Tao Zhong, Yong Zhang, Jia Zeng, Mingxuan Yuan, Jianye Hao, Jun Yao, and Kun Mao, “Machine Learning Insides OptVerse AI Solver: Design Principles and Applications”, arXiv:2401.05960 (2024).
- [R3] Guojun Zhang, Yancheng Yuan, and Defeng Sun, “An Efficient HPR Algorithm for the Wasserstein Barycenter Problem with  $O(\text{Dim}(P)/\varepsilon)$  Computational Complexity.” arXiv:2211.14881 (2022).
- [R2] Xiaoliang Song, Defeng Sun, and Kim-Chuan Toh, “Mesh independence of a majorized ABCD Method for sparse PDE-constrained optimization problems”, January 2020, arXiv:2001.02118.
- [R1] Yan Gao and Defeng Sun, “A majorized penalty approach for calibrating rank constrained correlation matrix problems”, March 2010. Conditionally accepted by Journal of Quantitative Finance subject to a minor revision.

## B. Papers in refereed journals

- [147] Ying Cui, Tim Hoheisel, Tran TA Nghia, and Defeng Sun, “Lipschitz Stability of Least-Squares Problems Regularized by Functions with -Cone Reducible Conjugates”, *Mathematics of Operations Research* (2026) doi.org/10.1287/moor.2024.0692.
- [146] Can Wu, Dong-Hui Li, Defeng Sun “Support matrix machine: exploring sample sparsity, low rank, and adaptive sieving in high-performance computing”, arXiv:2412.08023 (December 2024). *Mathematical Programming Computation* 18 (2026).
- [145] Yan Li, Defeng Sun, and Liping Zhang, “Unsupervised feature selection via nonnegative orthogonal constrained regularized minimization”, *Journal of Machine Learning Research* 27 (39):1–44, 2026.
- [144] Q Wang, G Zhang, Y Yang, C Ren, W Wu, X Zhao, M Skoglund, and D Sun, “An Efficient GPU-Based Halpern Accelerating Algorithm for Large-Scale DC Optimal Power Flow”, *IEEE Transactions on Power Systems* (2026) DOI 10.1109/TPWRS.2025.3635652.
- [143] Q Wang, W Wu, D Sun, C Lin, Y Shen, Y Yang, X Yang, and S Xu, “A Spherical Manifold-based Optimization Method for Coordinated Operation of Active Distribution Network with Networked Microgrids”, *IEEE Transactions on Smart Grid* (2026), DOI 10.1109/TSG.2025.3629824.
- [142] Kaihuang Chen, Defeng Sun, Yancheng Yuan, Guojun Zhang, and Xinyuan Zhao, “HPR-LP: An implementation of an HPR method for solving linear programming”, arXiv:2408.12179 (August 2024). *Mathematical Programming Computation* 18 (2026), no. 1, 183–210, doi.org/10.1007/s12532-025-00292-0.
- [141] Maojun Sun, Ruijian Han, Binyan Jiang, Houduo Qi, Defeng Sun, Yancheng Yuan, and Jian Huang, “A survey on large language model-based agents for statistics and data science”, *The American Statistician*, doi.org/10.1080/00031305.2025.2561140. arXiv:2407.17535 (December 2024).
- [140] Liang Chen, Defeng Sun, and Wangyongquan Zhang, “Two typical implementable semismooth\* Newton methods for generalized equations are G-semismooth Newton methods”, *Mathematics of Operations Research* (2026), doi.org/10.1287/moor.2024.0617, in print. arXiv:2407.14215 (July 2024; Revised in March 2025).
- [139] Maojun Sun, Ruijian Han, Binyan Jiang, Houduo Qi, Defeng Sun, Yancheng Yuan and Jian Huang, “LAMBDA: A Large Model Based Data Agent”, *Journal of the American Statistical Association* (2026), doi.org/10.1080/01621459.2025.2510000.
- [138] Liang Chen, Ruoning Chen, Defeng Sun, and Liping Zhang, “Equivalent characterizations of the Aubin

- property for nonlinear semidefinite programming”, *Mathematical Programming* 215 (2026) 637-668, doi.org/10.1007/s10107-025-02231-2.
- [137] Zhenting Luan, Defeng Sun, Haoning Wang, and Liping Zhang, “Efficient Online Prediction for High-Dimensional Time Series via Joint Tensor Tucker Decomposition”, *Journal of Machine Learning Research* 26 (261):1–30, 2025.
- [136] Jin Cao, Ta Zhou, Saikit Lam, Yuanpeng Zhang, Jiang Zhang, Xinyu Fan, Defeng Sun, and Jing Cai, “A Lightweight TSK Fuzzy Classifier with Quantitative Equivalent Fuzzy Rules via Adaptive Weighting”, *IEEE Transactions on Fuzzy Systems* 33:8 (2025) 2806-2817.
- [135] Guojun Zhang, Zhexuan Gu, Yancheng Yuan, and Defeng Sun, “HOT: An Efficient Halpern Accelerating Algorithm for Optimal Transport Problems”, *IEEE Transactions on Pattern Analysis and Machine Intelligence* 47:8 (2025) 6703–6714.
- [134] Ziwen Wang, Yancheng Yuan, Jiaming Ma, Tiejong Zeng, and Defeng Sun, “Randomly projected convex clustering model: Motivation, realization, and cluster recovery guarantees”. *Journal of Machine Learning Research* 26 (137):1–57, 2025.
- [133] Yancheng Yuan, Meixia Lin, Defeng Sun, and Kim-Chuan Toh, “Adaptive sieving: A dimension reduction technique for sparse optimization problems”, *Mathematical Programming Computation* 17 (2025) 585–616. arXiv:2306.17369 (2023; Revised September 2024).
- [132] Shuhui Liu and Defeng Sun, “The sign and the co-monotonicity of  $Z$  for a class of decoupled FBSDEs: Theory and applications”, *Systems & Control Letters* 203 (2025), Paper No. 106160, 7 pp.
- [131] Zhenzhi Qin, Zhenyu Ming, Defeng Sun, and Liping Zhang, “Low-rank quaternion tensor completion for color video inpainting via a novel factorization strategy”, *Mathematics of Computation* 94(2025) 2409–2456.
- [130] Defeng Sun, Yancheng Yuan, Guojun Zhang, and Xinyuan Zhao, “Accelerating preconditioned ADMM via degenerate proximal point mappings”, *SIAM Journal on Optimization* 35:2 (2025) 1165–1193.
- [129] Liang Chen, Ruoning Chen, Defeng Sun, and Junyuan Zhu, “Aubin property and strong regularity are equivalent for nonlinear second-order cone programming”, *SIAM Journal on Optimization* 35:2 (2025) 712–738.
- [128] Jiawang Nie, Defeng Sun, Xindong Tang, and Min Zhang, “Solving polynomial variational inequality problems via Lagrange multiplier expressions and Moment-SOS relaxations”, *Computational Optimization and Applications* 90 (2025) 361–394.
- [127] Ling Liang, D.F. Sun, and K.-C. Toh, “A squared smoothing Newton method for semidefinite programming”, *Mathematics of Operations Research* 50 (2025), no. 4, 2873–2908, doi.org/10.1287/moor.2023.0311.
- [126] Shenglong Hu, D.F. Sun, and K.-C. Toh, “Quantifying low rank approximations of third order symmetric tensors”, *Mathematical Programming* 213 (2025) 1119–1168.
- [125] Bo Yang, Xinyuan Zhao, Xudong Li, and D.F. Sun, “An accelerated proximal alternating direction method of multipliers for optimal decentralized control of uncertain systems”, *Journal of Optimization Theory and Applications* 204.1 (2025), Paper No. 9, 37 pp.
- [124] Meixia Lin, D.F. Sun, K.-C. Toh, and C.J. Wang, “Estimation of sparse Gaussian graphical models with hidden clustering structure”, *Journal of Machine Learning Research*, 25 (256):1–36, 2024.
- [123] Qian Li, D.F. Sun, and Yancheng Yuan, “An efficient sieving based secant method for sparse optimization problems with least-squares constraints”, *SIAM Journal on Optimization* 34:2 (2024) 2038–2066.
- [122] Meixia Lin, Yancheng Yuan, Defeng Sun, and Kim-Chuan Toh, “A highly efficient algorithm for solving exclusive Lasso problems”, *Optimization Methods and Software* 39:3 (2024) 489–518.
- [121] Yangjing Zhang, K.-C. Toh, and D.F. Sun, “Learning graph Laplacian with MCP”, *Optimization Methods and Software* 39:3 (2024) 569–600.
- [120] D. Zhang, Shaohua Pan, Shujun Bi, and D.F. Sun, “Zero-norm regularized problems: equivalent surrogates, proximal MM method and statistical error bound”, *Computational Optimization and Applications* 86:2 (2023) 627–667.
- [119] Can Wu, Ying Cui, D.H. Li, and D.F. Sun, “Convex and nonconvex risk-based linear regression at scale”, *INFORMS Journal on Computing* 35 (4): 797-816, 2023.
- [118] Qian Li, Binyan Jiang, and D.F. Sun, “MARS: a second-order reduction algorithm for high-dimensional

- sparse precision matrices estimation”, *Journal of Machine Learning Research* 24 (134):1–44, 2023.
- [117] Liping Zhang, D.F. Sun, and Zhenting Luan, “Solvability of monotone tensor complementarity problems”, *SCIENCE CHINA Mathematics* 66 (2023) 647–664.
- [116] Yancheng Yuan, T.-H. Chang, D.F. Sun, and K.-C. Toh, “A dimension reduction technique for structured sparse optimization problems with application to convex clustering”, *SIAM Journal on Optimization* 32 (2022) 2294–2318.
- [115] Ling Liang, Xudong Li, D.F. Sun, and K.-C. Toh, “QPPAL: A two-phase proximal augmented Lagrangian method for high dimensional convex quadratic programming problems”, *ACM Transactions on Mathematical Software* 48 (2022), no. 3, Art. 33, 27 pp.
- [114] Xuying Zhao, Minru Bai, D.F. Sun, and L.B. Zheng, “Robust tensor completion: equivalent surrogates, error bounds and algorithms,” *SIAM Journal on Imaging Sciences* 15 (2022) 625–669.
- [113] Meixia Lin, D.F. Sun, and K.-C. Toh, “An augmented Lagrangian method with constraint generations for shape-constrained convex regression problems,” *Mathematical Programming Computation* 14 (2022) 223–270.
- [112] Y. Cui, L. Liang, D.F. Sun, and K.-C. Toh, “Projecting onto the degenerate doubly nonnegative cone,” *Mathematics of Operations Research* 47 (2022) 2219–2239.
- [111] X.Y. Lam, D.F. Sun, and K.-C. Toh, “A semi-proximal augmented Lagrangian based decomposition method for primal block angular convex composite quadratic conic programming problems,” *INFORMS Journal on Optimization* 3:3 (2021) 254–277.
- [110] L. Liang, D.F. Sun, and K.-C. Toh, “An inexact augmented Lagrangian method for second-order cone programming with applications,” *SIAM Journal on Optimization* 31:3 (2021) 1748–1773.
- [109] L. Yang, J. Li, D.F. Sun, and K.-C. Toh, “A fast globally linearly convergent algorithm for the computation of Wasserstein barycenters,” *Journal of Machine Learning Research* 22(21):1–37, 2021.
- [108] D.F. Sun and K.-C. Toh, and Y.C. Yuan, “Convex clustering: model, theoretical guarantee and efficient algorithm,” *Journal of Machine Learning Research* 22(9):1–32, 2021.
- [107] R. Yan, S. Wang, J. Cao, and D.F. Sun, “Shipping Domain Knowledge Informed Prediction and Optimization in Port State Control,” *Transportation Research Part B* 149 (2021) 52–78.
- [106] N. Zhang, Y.J. Zhang, D.F. Sun, and K.-C. Toh, “An efficient linearly convergent regularized proximal point algorithm for fused multiple graphical lasso problems,” *SIAM Journal on Mathematics of Data Science* 3:2 (2021) 524–543.
- [105] L. Chen, X.D. Li, D.F. Sun, and K.-C. Toh, “On the equivalence of inexact proximal ALM and ADMM for a class of convex composite programming,” *Mathematical Programming* 185 (2021) 111–161.
- [104] X. Hu, J. Huang, L. Liu, D.F. Sun, and X. Zhao, “Subgroup analysis in the heterogeneous Cox model,” *Statistics in Medicine* 40:3 (2021) 739–757.
- [103] P. Tang, C.J. Wang, D.F. Sun, and K.-C. Toh, “A sparse semismooth Newton based proximal majorization-minimization algorithm for nonconvex square-root-loss regression problems,” *Journal of Machine Learning Research* 21(226):1–38, 2020.
- [102] S.J. Bi, S.H. Pan, and D.F. Sun, “A multi-stage convex relaxation approach to noisy structured low-rank matrix recovery,” *Mathematical Programming Computation* 12:4 (2020) 569–602.
- [101] X.D. Li, D.F. Sun, and K.-C. Toh, “An asymptotically superlinearly convergent semismooth Newton augmented Lagrangian method for linear programming,” *SIAM Journal on Optimization* 30:3 (2020) 2410–2440.
- [100] Y.J. Zhang, N. Zhang, D.F. Sun, K.-C. Toh, “A proximal point dual Newton algorithm for solving group graphical lasso problems,” *SIAM Journal on Optimization* 30:3 (2020) 2197–2220.
- [99] C. Ding, D.F. Sun, J. Sun, and K.-C. Toh, “Spectral operators of matrices: Semismoothness and characterizations of the generalized Jacobian,” *SIAM Journal on Optimization* 30:1 (2020) 630–659.
- [98] D.F. Sun, K.-C. Toh, Y. Yuan, and X.Y. Zhao, “SDPNAL+: A Matlab software for semidefinite programming with bound constraints (version 1.0),” *Optimization Methods and Software* 35:1 (2020) 87–115.
- [97] X.D. Li, D.F. Sun, and K.-C. Toh, “On the efficient computation of a generalized Jacobian of the projector over the Birkhoff polytope,” *Mathematical Programming* 179 (2020) 419–446.
- [96] Y.J. Zhang, N. Zhang, D.F. Sun, and K.-C. Toh, “An efficient Hessian based algorithm for solving large-

- scale sparse group Lasso problems,” *Mathematical Programming* 179 (2020) 223–263.
- [95] S. Hu, D.F. Sun, and K.-C. Toh, “Best nonnegative rank-one approximations of tensors,” *SIAM Journal on Matrix Analysis and Applications* 40 (2019) 1527–1554.
- [94] Y. Cui, D.F. Sun, and K.-C. Toh, “Computing the best approximation over the intersection of a polyhedral set and the doubly nonnegative cone,” *SIAM Journal on Optimization* 29 (2019) 2785–2813.
- [93] M.X. Lin, Y.J. Liu, D.F. Sun, and K.-C. Toh, “Efficient sparse Hessian based algorithms for the clustered lasso problem,” *SIAM Journal on Optimization* 29 (2019) 2026–2052.
- [92] Z. Luo, D.F. Sun, K.-C. Toh, and N. Xiu, “Solving the OSCAR and SLOPE models using a semismooth Newton-based augmented Lagrangian method,” *Journal of Machine Learning Research* 20(106) (2019):1–25.
- [91] L. Chen, D.F. Sun, K.-C. Toh, and N. Zhang, “A unified algorithmic framework of symmetric Gauss-Seidel decomposition based proximal ADMMs for convex composite programming,” *Journal of Computational Mathematics* 37 (2019) 739–757.
- [90] L. Chen, D.F. Sun, and K.-C. Toh, “Some problems on the Gauss-Seidel iteration method in degenerate cases,” *Journal on Numerical Methods and Computer Applications*, 40 (2019) 98–110 (in Chinese).
- [89] Y. Cui, D.F. Sun, and K.-C. Toh, “On the R-superlinear convergence of the KKT residuals generated by the augmented Lagrangian method for convex composite conic programming,” *Mathematical Programming* 178 (2019) 381–415.
- [88] X.D. Li, D.F. Sun, and K.-C. Toh, “A block symmetric Gauss-Seidel decomposition theorem for convex composite quadratic programming and its applications,” *Mathematical Programming* 175 (2019) 395–418.
- [87] X.D. Li, D.F. Sun, and K.-C. Toh, “QSDPNAL: A two-phase augmented Lagrangian method for convex quadratic semidefinite programming,” *Mathematical Programming Computation* 10 (2018) 703–743.
- [86] X.D. Li, D.F. Sun, and K.-C. Toh, “On efficiently solving the subproblems of a level-set method for fused lasso problems,” *SIAM Journal on Optimization* 28 (2018) 1842–1862.
- [85] C. Ding, D.F. Sun, J. Sun, and K.-C. Toh, “Spectral operators of matrices,” *Mathematical Programming* 168 (2018) 509–531.
- [84] D.R. Han, D.F. Sun, and L.W. Zhang, “Linear rate convergence of the alternating direction method of multipliers for convex composite quadratic and semi-definite programming,” *Mathematics of Operations Research* 43 (2018) 622–637.
- [83] X.Y. Lam, J.S. Marron, D.F. Sun, and K.-C. Toh, “Fast algorithms for large scale generalized distance weighted discrimination,” *Journal of Computational and Graphical Statistics* 27 (2018) 368–379.
- [82] Y. Cui and D.F. Sun, “A complete characterization on the robust isolated calmness of the nuclear norm regularized convex optimization problems,” *Journal of Computational Mathematics* 36(3) (2018) 441–458.
- [81] X.D. Li, D.F. Sun, and K.-C. Toh, “A highly efficient semismooth Newton augmented Lagrangian method for solving Lasso problems,” *SIAM Journal on Optimization* 28 (2018) 433–458.
- [80] C. Ding, D.F. Sun, and L.W. Zhang, “Characterization of the robust isolated calmness for a class of conic programming problems,” *SIAM Journal on Optimization* 27 (2017) 67–90.
- [79] J. Qi, M. Sim, D.F. Sun, and X. Yuan, “Preferences for travel time under risk and ambiguity: Implications in path selection and network equilibrium,” *Transportation Research Part B* 94 (2017) 264–284.
- [78] L. Chen, D.F. Sun, and K.-C. Toh, “A note on the convergence of ADMM for linearly constrained convex optimization problems,” *Computational Optimization and Applications* 66 (2017) 327–343.
- [77] L. Chen, D.F. Sun, and K.-C. Toh, “An efficient inexact symmetric Gauss-Seidel based majorized ADMM for high-dimensional convex composite conic Programming,” *Mathematical Programming* 161 (2017) 237–270.
- [76] D.F. Sun, K.-C. Toh, and L.Q. Yang, “An efficient inexact ABCD method for least squares semidefinite programming,” *SIAM Journal on Optimization* 26 (2016) 1072–1100.
- [75] Y. Cui, X.D. Li, D.F. Sun, and K.-C. Toh, “On the convergence properties of a majorized ADMM for linearly constrained convex optimization problems with coupled objective functions” (Dedicated to E. Polak on the occasion of his 85th birthday), *Journal of Optimization Theory and Applications* 169 (2016)

1013–1041.

- [74] M. Li, D.F. Sun, and K.-C. Toh, “A majorized ADMM with indefinite proximal terms for linearly constrained convex composite optimization,” *SIAM Journal on Optimization* 26 (2016) 922–950.
- [73] W.M. Miao, S.H. Pan, and D.F. Sun, “A rank-corrected procedure for matrix completion with fixed basis coefficients,” *Mathematical Programming* 159 (2016) 289–338.
- [72] C.H. Chen, Y.J. Liu, D.F. Sun, and K.-C. Toh, “A semismooth Newton-CG dual proximal point algorithm for matrix spectral norm approximation problems,” *Mathematical Programming* 155 (2016) 435–470.
- [71] X.D. Li, D.F. Sun, and K.-C. Toh, “A Schur complement based semi-proximal ADMM for convex quadratic conic programming and extensions,” *Mathematical Programming* 155 (2016) 333–373.
- [70] Y. Cui, C.L. Leng, and D.F. Sun, “Sparse estimation of high-dimensional correlation matrices,” *Computational Statistics & Data Analysis* Vol. 93 (2016) 390–403.
- [69] L.Q. Yang, D.F. Sun, and K.-C. Toh, “SDPNAL+: A majorized semismooth Newton-CG augmented Lagrangian method for semidefinite programming with nonnegative constraints,” *Mathematical Programming Computation* Vol. 7, Issue 3 (2015) 331–366.
- [68] D.F. Sun, K.C. Toh, and L.Q. Yang, “A convergent 3-block semi-proximal alternating direction method of multipliers for conic programming with 4-type constraints,” *SIAM Journal on Optimization* 25 (2015) 882–915.
- [67] M. Li, D.F. Sun, and K.-C. Toh, “A convergent 3-block semi-proximal ADMM for convex minimization problems with one strongly convex block,” *Asia-Pacific Journal of Operational Research* 32 (2015) 1550024 (19 pages).
- [66] K.F. Jiang, D.F. Sun, and K.-C. Toh, “A partial proximal point algorithm for nuclear norm regularized matrix least squares problems,” *Mathematical Programming Computation* 6 (2014) 281–325.
- [65] C. Ding, D.F. Sun, and J. Ye, “First order optimality conditions for mathematical programs with semidefinite cone complementarity constraints,” *Mathematical Programming* 147 (2014) 539–579.
- [64] B. Wu, C. Ding, D.F. Sun, and K.-C. Toh, “On the Moreau-Yosida regularization of the vector k-norm related functions,” *SIAM Journal on Optimization* 24 (2014) 766–794.
- [63] C. Ding, D.F. Sun, and K.-C. Toh, “An introduction to a class of matrix cone programming,” *Mathematical Programming* 144 (2014) 141–179.
- [62] M. Fazel, T.K. Pong, D.F. Sun, and P. Tseng, “Hankel matrix rank minimization with applications to system identification and realization,” *SIAM Journal on Matrix Analysis and Applications* 34 (2013) 946–977.
- [61] J.F. Yang, D.F. Sun, and K.-C. Toh, “A proximal point algorithm for log-determinant optimization with group lasso regularization,” *SIAM Journal on Optimization* 23 (2013) 857–893.
- [60] K.F. Jiang, D.F. Sun, and K.-C. Toh, “An inexact accelerated proximal gradient method for large scale linearly constrained convex SDP,” *SIAM Journal on Optimization* 22 (2012) 1042–1064.
- [59] Y.J. Liu, D.F. Sun, and K.-C. Toh, “An implementable proximal point algorithmic framework for nuclear norm minimization,” *Mathematical Programming* 133 (2012) 399–436.
- [58] H.D. Qi and D.F. Sun, “An augmented Lagrangian dual approach for the H-weighted nearest correlation matrix problem,” *IMA Journal of Numerical Analysis* 31 (2011) 491–511.
- [57] C.J. Wang, D.F. Sun, and K.-C. Toh, “Solving log-determinant optimization problems by a Newton-CG proximal point algorithm,” *SIAM Journal on Optimization* 20 (2010) 2994–3013.
- [56] X.Y. Zhao, D.F. Sun, and K.-C. Toh, “A Newton-CG augmented Lagrangian method for semidefinite programming,” *SIAM Journal on Optimization* 20 (2010) 1737–1765.
- [55] H.D. Qi and D.F. Sun, “Correlation stress testing for value-at-risk: an unconstrained convex optimization approach,” *Computational Optimization and Applications* 45 (2010) 427–462.
- [54] Y. Gao and D.F. Sun, “Calibrating least squares semidefinite programming with equality and inequality constraints,” *SIAM Journal on Matrix Analysis and Applications* 31 (2009) 1432–1457.
- [53] J. Outrata and D.F. Sun, “On the coderivative of the projection operator onto the second-order cone,” *Set-Valued Analysis* 16 (2008) 999–1014.
- [52] Z.X. Chan and D.F. Sun, “Constraint nondegeneracy, strong regularity and nonsingularity in semidefinite programming,” *SIAM Journal on Optimization* 19 (2008) 370–396.

- [51] J.-S. Chen, D.F. Sun, and J. Sun, “The  $SC^1$  property of the squared norm of the SOC Fischer-Burmeister function,” *Operations Research Letters* 36 (2008) 385–392.
- [50] D.F. Sun and J. Sun, “Löwner’s operator and spectral functions in Euclidean Jordan algebras,” *Mathematics of Operations Research* 33 (2008) 421–445.
- [49] D.F. Sun, J. Sun, and L.W. Zhang, “The rate of convergence of the augmented Lagrangian method for nonlinear semidefinite programming,” *Mathematical Programming* 114 (2008) 349–391.
- [48] Z.-J. Bai, D. Chu, and D.F. Sun, “A dual optimization approach to inverse quadratic eigenvalue problems with partial eigenstructure,” *SIAM Journal on Scientific Computing* 29 (2007) 2531–2561.
- [47] D.F. Sun, “The strong second order sufficient condition and constraint nondegeneracy in nonlinear semidefinite programming and their implications,” *Mathematics of Operations Research* 31 (2006) 761–776.
- [46] H.-D. Qi and D.F. Sun, “A quadratically convergent Newton method for computing the nearest correlation matrix,” *SIAM Journal on Matrix Analysis and Applications* 28 (2006) 360–385.
- [45] Z.H. Huang, D.F. Sun, and G. Zhao, “A smoothing Newton-type algorithm of stronger convergence for the quadratically constrained convex quadratic programming,” *Computational Optimization and Applications* 35 (2006) 197–237.
- [44] F.W. Meng, D.F. Sun, and G.Y. Zhao, “Semismoothness of solutions to generalized equations and the Moreau-Yosida regularization,” *Mathematical Programming* 104 (2005) 561–581.
- [43] D.F. Sun and J. Sun, “Strong semismoothness of Fischer-Burmeister SDC and SOC complementarity functions,” *Mathematical Programming* 103 (2005) 575–581.
- [42] D. Han, X. Li, D.F. Sun, and J. Sun, “Bounding option prices of multi-assets: a semidefinite programming approach,” *Pacific Journal of Optimization* 1 (2005) 47–67. (Special Issue in Honor of the 70th Birthday of R. Tyrrell Rockafellar.)
- [41] Z. Huang, L. Qi, and D.F. Sun, “Sub-quadratic convergence of a smoothing Newton algorithm for the  $P_0$ - and monotone LCP,” *Mathematical Programming* 99 (2004) 423–441.
- [40] J. Sun, D.F. Sun, and L. Qi, “A squared smoothing Newton method for nonsmooth matrix equations and its applications in semidefinite optimization problems,” *SIAM Journal on Optimization* 14 (2004) 783–806.
- [39] J.-S. Pang, D.F. Sun, and J. Sun, “Semismooth homeomorphisms and strong stability of semidefinite and Lorentz cone complementarity problems,” *Mathematics of Operations Research* 28 (2003) 39–63.
- [38] X.D. Chen, D. Sun, and J. Sun, “Complementarity functions and numerical experiments for second-order-cone complementarity problems,” *Computational Optimization and Applications* 25 (2003) 39–56.
- [37] H.D. Qi, L. Qi, and D.F. Sun, “Solving KKT systems via the trust region and the conjugate gradient methods,” *SIAM Journal on Optimization* 14 (2003) 439–463.
- [36] G.L. Zhou, K.C. Toh, and D.F. Sun, “Semismooth Newton methods for minimizing a sum of Euclidean norms with linear constraints,” *Journal of Optimization Theory and Applications* 119 (2003) 357–377.
- [35] D.F. Sun and J. Sun, “Strong semismoothness of eigenvalues of symmetric matrices and its application to inverse eigenvalue problems,” *SIAM Journal on Numerical Analysis* 40 (2003) 2352–2367.
- [34] L. Qi and D.F. Sun, “Smoothing functions and a smoothing Newton method for complementarity and variational inequality problems,” *Journal of Optimization Theory and Applications* 113 (2002) 121–147.
- [33] L. Qi, D.F. Sun, and G.L. Zhou, “A primal-dual algorithm for minimizing a sum of Euclidean norms,” *Journal of Computational and Applied Mathematics* 138 (2002) 127–150.
- [32] D.F. Sun and J. Sun, “Semismooth matrix valued functions,” *Mathematics of Operations Research* 27 (2002) 150–169.
- [31] D.F. Sun, R.S. Womersley, and H.D. Qi, “A feasible semismooth asymptotically Newton method for mixed complementarity problems,” *Mathematical Programming* 94 (2002) 167–187.
- [30] D.F. Sun and L. Qi, “Solving variational inequality problems via smoothing-nonsmooth reformulations,” *Journal of Computational and Applied Mathematics* 129 (2001) 37–62.
- [29] Y.B. Zhao and D.F. Sun, “Alternative theorems for nonlinear projection equations and their applications to generalized complementarity problems,” *Nonlinear Analysis: Theory, Methods and Applications* 46 (2001) 853–868.

- [28] E. Polak, L. Qi, and D.F. Sun, “Second-order algorithms for generalized finite and semi-infinite min-max problems,” *SIAM Journal on Optimization* 11 (2001) 937–961.
- [27] D.F. Sun, “A further result on an implicit function theorem for locally Lipschitz functions,” *Operations Research Letters* 28 (2001) 193–198.
- [26] L. Qi, D.F. Sun, and G.L. Zhou, “A new look at smoothing Newton methods for nonlinear complementarity problems and box constrained variational inequalities,” *Mathematical Programming* 87 (2000) 1–35.
- [25] L. Qi and D.F. Sun, “Improving the convergence of non-interior point algorithms for nonlinear complementarity problems,” *Mathematics of Computation* 69 (2000) 283–304.
- [24] Y. Dai, J. Han, G. Liu, D.F. Sun, H. Yin, and Y. Yuan, “Convergence properties of nonlinear conjugate gradient methods,” *SIAM Journal on Optimization* 10 (2000) 359–383.
- [23] R. Mifflin, L. Qi, and D.F. Sun, “Properties of the Moreau-Yosida regularization of a piecewise  $C^2$  convex function,” *Mathematical Programming* 84 (1999) 269–281.
- [22] E. Polak, L. Qi, and D. Sun, “First-order algorithms for generalized finite and semi-infinite min-max problems,” *Computational Optimization and Applications* 13 (1999) 137–161.
- [21] D.F. Sun and L. Qi, “On NCP-functions,” *Computational Optimization and Applications* 13 (1999) 201–220.
- [20] D.F. Sun, “A regularization Newton method for solving nonlinear complementarity problems,” *Applied Mathematics and Optimization* 40 (1999) 315–339.
- [19] D.F. Sun and R.S. Womersley, “A new unconstrained differentiable merit function for box constrained variational inequality problems and a damped Gauss-Newton method,” *SIAM Journal on Optimization* 9 (1999) 409–434.
- [18] X. Chen, L. Qi, and D.F. Sun, “Global and superlinear convergence of the smoothing Newton method and its application to general box constrained variational inequalities,” *Mathematics of Computation* 67 (1998) 519–540.
- [17] H. Jiang, M. Fukushima, L. Qi, and D.F. Sun, “A trust region method for solving generalized complementarity problems,” *SIAM Journal on Optimization* 8 (1998) 140–157.
- [16] R. Mifflin, D.F. Sun, and L. Qi, “Quasi-Newton bundle-type methods for nondifferentiable convex optimization,” *SIAM Journal on Optimization* 8 (1998) 583–603.
- [15] D.F. Sun, J. Han, and Y.B. Zhao, “On the finite termination of the damped-Newton algorithm for the linear complementarity problem,” *Acta Mathematica Numerica Applicatae* 21 (1998) 148–154.
- [14] F. Potra, L. Qi, and D.F. Sun, “Secant methods for semismooth equations,” *Numerische Mathematik* 80 (1998) 305–324.
- [13] D.F. Sun and J. Han, “Newton and quasi-Newton methods for a class of nonsmooth equations and related problems,” *SIAM Journal on Optimization* 7 (1997) 463–480.
- [12] J. Han and D.F. Sun, “Newton and quasi-Newton methods for normal maps with polyhedral sets,” *Journal of Optimization Theory and Applications* 94 (1997) 659–676.
- [11] J. Han, D.F. Sun, and D. Xu, “A unified approach to the convergence analysis of iterative methods for solving generalized complementarity problems,” *Mathematica Numerica Sinica* 20 (1997) 11–18.
- [10] D.F. Sun and J. Han, “On a conjecture in Moreau-Yosida approximation of a nonsmooth convex function,” *Chinese Science Bulletin* 42 (1997) 1423–1426.
- [9] D.F. Sun, “A class of iterative methods for solving nonlinear projection equations,” *Journal of Optimization Theory and Applications* 91 (1996) 123–140.
- [8] D.F. Sun, “A new step-size skill for solving a class of nonlinear projection equations,” *Journal of Computational Mathematics* 13 (1995) 357–368.
- [7] D.F. Sun, “An improved extragradient method for linear variational inequality problems with lower and upper bounds,” *Nanjing Da Xue Shu Xue Ban Nian Kan* 12 (1995) 249–254.
- [6] G. Liu, J. Han and D.F. Sun, “Global convergence of BFGS method with nonmonotone line search,” *Optimization* 34 (1995) 147–159.
- [5] D.F. Sun and J. Wang, “An approximation method for stochastic programming with recourse,” *Mathematica Numerica Sinica* 16 (1994) 80–92. (In Chinese). English translation in *Chinese Journal of*

*Numerical Mathematics and Applications* 16 (1994) 70–83.

- [4] D.F. Sun, “A projection and contraction method for the nonlinear complementarity problem and its extensions,” *Mathematica Numerica Sinica* 16 (1994) 183–194. (In Chinese). English translation in *Chinese Journal of Numerical Mathematics and Applications* 16 (1994) 73–84.
- [3] D.F. Sun, “An iterative method for solving variational inequality problems and complementarity problems,” *Numerical Mathematics: A Journal of Chinese Universities* 16 (1994) 145–153.
- [2] D. Xu and D.F. Sun, “A modification of successive approximation method for nonsmooth equations,” *Qufu Shifan Daxue Xuebao Ziran Kexue Ban* 20 (1994) 14–20.
- [1] D.F. Sun, “Projected extragradient method for finding saddle points of general convex programming,” *Qufu Shifan Daxue Xuebao Ziran Kexue Ban* 19 (1993) 10–17.

### C. Papers in refereed proceedings

- [13] Shulan Zhu, Chenglong Bao, Defeng Sun, and Yancheng Yuan, “A tight convergence analysis of inexact stochastic proximal point algorithm for stochastic composite optimization problems”, ICLR 2025.
- [12] Xixi Jia, Fangchen Feng, Deyu Meng, Defeng Sun, ”Globally Q-linear Gauss-Newton method for over-parameterized non-convex matrix sensing”, *Advances in Neural Information Processing Systems* 37 (NeurIPS 2024), 20428-20459.
- [11] S. Wang, Y. Xu, Z. Wang, T.-H. Chang, T. QS Quek, and D.F. Sun, “Beyond ADMM: A unified client-variance-reduced adaptive federated learning framework.” In *Proceedings of the AAAI Conference on Artificial Intelligence*, vol. 37, no. 8, pp. 10175-10183. 2023.
- [10] Y.C. Yuan, D.F. Sun and K.-C. Toh, “An efficient semismooth Newton based algorithm for convex clustering”, *Proceedings of the 35-th International Conference on Machine Learning (ICML)*, Stockholm, Sweden, PMLR 80, 2018.
- [9] K.F. Jiang, D.F. Sun and K.-C. Toh, ”Solving nuclear norm regularized and semidefinite matrix least squares problems with linear equality constraints”, *Fields Institute Communications Series on Discrete Geometry and Optimization*, K. Bezdek, Y. Ye, and A. Deza eds., 2013.
- [8] L. Qi and D. Sun, “Nonsmooth & Smoothing Methods for NCP & VI,” in the *Encyclopedia of Optimization*, C. Floudas and P. Pardalos (editors), (Kluwer Academic Publisher, Nowell, MA, USA, 2001) 100-104.
- [7] L. Qi and D. Sun, “Polyhedral methods for solving three index assignment problems,” *Nonlinear Assignment Problems: Algorithms and Applications*, editors, P.M. Pardalos and L. Pitsoulis (Kluwer Academic Publisher, Nowell, MA, USA, 2000), 91-107.
- [6] L. Qi and D. Sun, “A survey of some nonsmooth equations and smoothing Newton methods,” Andrew Eberhard, Barney Glover, Robin Hill and Daniel Ralph eds., “*Progress in Optimization*,” 121–146, Kluwer, Dordrecht, 1999.
- [5] G. Zhou, D. Sun and L. Qi, “Numerical experiments for a class of squared smoothing Newton methods for box constrained variational inequality problems,” *Reformulation: Nonsmooth, Piecewise Smooth, Semismooth and Smoothing Methods*, M. Fukushima and L. Qi (eds.), Kluwer Academic Publishers B.V., 421–441, 1999.
- [4] J. Han and D. Sun, “Newton-Type methods for variational inequalities,” *Advances in Nonlinear Programming*, Y. Yuan, ed, Kluwer, Boston, 1998, pp. 105 – 118.
- [3] D. Sun, M. Fukushima and L. Qi, “A computable generalized Hessian of the D-gap function and Newton-type methods for variational inequality problem,” in: M.C. Ferris and J.-S. Pang, eds., *Complementarity and Variational Problems – State of the Art*, SIAM Publications, Philadelphia, 1997, pp. 452-473.
- [2] H. Jiang, L. Qi, X. Chen and D. Sun, “Semismoothness and superlinear convergence in nonsmooth optimization and nonsmooth equations,” *Nonlinear Optimization and Applications*, G. Di Pillo and F. Giannessi eds., (Plenum Publishing Corporation, New York), 1996, 197–212.
- [1] J. Han and D. Sun, “Superlinear convergence of approximate Newton methods for  $LC^1$  optimization problems without strict complementarity,” in D.Z. Du, L. Qi and R.S. Womersley, eds., *Recent Advances in Nonsmooth Optimization* (World Scientific Publishing Co., New Jersey), pp. 141–158, 1995.

