SIRUI HAN

EMPLOYMENT

The Hong Kong Polytechnic University, School of Accounting and Finance
Research Assistant Professor 2022 –
CitiBank (Hong Kong)
Equity Research Analyst 2021 - 2022

EDUCATION

The Chinese University of Hong Kong, Faculty of Law 2014 - 2019
PhD in Law
MSc in Finance
Fulbright Scholar at Cornell University 2019
Academic Visitor at Harvard Institute for Global Law & Policy 2018
Junior Academic Visitor at Oxford University 2017
University College London, Law School 2012 - 2014
LLM
Sun Yat-sen University 2008 - 2012
LLB, *summa cum laude*, Law School
Minor, Administrative Management, School of Government

RESEARCH FUND BIDDING

PolyU Strategic Hiring Start-up Fund for Research Assistant Professor 2023
Principle Investigator HKD 250,000
UGC Area of Excellence (AOE) Scheme, Tenth Round Finalist 2022
Co-Investigator & research assistant in preparation of bidding materials

WORK-IN-PROGRESS

Stock Market Movement and Investor Consumption
S. Han, H. Lu

RESEARCH PAPERS

Does Antitakeover Provision Harm Shareholders? Indexing for Antitakeover Provisions in China
Single author, R&R at *Journal of Corporate Law Studies*

FinTech Regulation for Online Micro-lending in China
Single author, R&R at *Asia Pacific Law Review*

Regulatory Arbitrage and Fintech Credit in China
Single author, R&R at *Asian Journal of Comparative Law*

Self-regulatory Measures as Securities Regulation: The Saga of Antitakeover Regulation in China
Single author, *International Company and Commercial Law Review,* 2022

Regulating Collective Labour Disputes in China: A Tale of Two Actors (with Mimi Zou and others)
Journal of Comparative Law, 2017

Making, Enforcing and Accessing the Law (with Xuanming Pan and others)
German Journal of Chinese Law, 2015

BOOK CHAPTERS AND POLICY PAPERS

Financial Regulation as Interagency Competition? The Saga of Venture Capital Rule-making in China (with Chao Xi)
in Douglas ARNER *et al* (eds), *Research Handbook on Asian Financial Law*, Edward Elgar, 2019.

Investors' Right-Claiming Behavior in Chinese Securities Market: An Empirical Study (with Chao Xi and others)
invited submission to CSRC Investor Services Center, 2020.

Mergers and Acquisitions of Listed Companies with Funding from Insurance Companies in Secondary Securities Market (with Bin Zeng)
invited submission to Shenzhen Stock Exchange, 2017

Corporate Governance of Companies Listed on Shenzhen Stock Exchange (with Bin Zeng)
invited submission to Shenzhen Stock Exchange, 2017

Leverage Buy-outs in Chinese Capital Market: An Empirical Analysis (with Bin Zeng)
invited submission to Shenzhen Stock Exchange, 2017

Credit Information Disclosure for Non-public Corporations in Hong Kong
Single author, invited submission to the PRC State Administration of Industry and Commerce, 2016

TEACHING EXPERIENCE

Advanced Financial Management — **CUHK Business School**
MSc in Finance, Core — 2022
International Finance — **CUHK Business School**
MSc in Finance, Elective — 2022
Wealth Management — **CUHK Business School**
MSc in Finance, Elective — 2022
Digital Innovation in Finance — **CUHK Business School**
MSc in Finance, Elective — 2022

FELLOWSHIPS AND AWARDS

J. William Fulbright-RGC Hong Kong Research Scholar Award — 2018
USD 16,000
HKSAR Scholarship Fund – Reaching Out Award, Hong Kong Government — 2017
HKD 10,000
CUHK PhD Scholarship — 2014 – 2018
Approx. HKD 200,000 per year, for four consecutive academic years
China National Scholarship, Ministry of Education, PRC Central Government — 2009 – 2011
RMB 8,000 per year, for 2 consecutive academic years