

Properties of Some Classes of Structured Tensors*

Yisheng Song[†] Liqun Qi[‡]

June 24, 2014

Abstract

In this paper, we extend some classes of structured matrices to higher order tensors. We discuss their relationships with positive semi-definite tensors and some other structured tensors. We show that every principal sub-tensor of such a structured tensor is still a structured tensor in the same class, with a lower dimension. The potential links of such structured tensors with optimization, nonlinear equations, nonlinear complementarity problems, variational inequalities and the nonnegative tensor theory are also discussed.

Key words: P tensor, P_0 tensor, B tensor, B_0 tensor, Principal sub-tensor, Eigenvalues.

AMS subject classifications (2010): 47H15, 47H12, 34B10, 47A52, 47J10, 47H09, 15A48, 47H07.

1 Introduction

P and P_0 matrices have a long history and wide applications in mathematical sciences. Fiedler and Pták first studied P matrices systematically in [1]. For the applications of P and P_0 matrices and functions in linear complementarity problems, variational inequalities and nonlinear complementarity problems, we refer readers to [2-4]. It is well-known that a symmetric matrix is a P (P_0) matrix if and only if it is positive (semi-)definite [2, Pages 147, 153].

*To appear in: Journal of Optimization: Theory and Applications.

[†]Corresponding author. School of Mathematics and Information Science, Henan Normal University, XinXiang HeNan, P.R. China, 453007. Email: songyisheng1@gmail.com. This author's work was supported by the National Natural Science Foundation of P.R. China (Grant No. 11171094, 11271112). His work was partially done when he was visiting The Hong Kong Polytechnic University.

[‡]Department of Applied Mathematics, The Hong Kong Polytechnic University, Hung Hom, Kowloon, Hong Kong. Email: maqilq@polyu.edu.hk. This author's work was supported by the Hong Kong Research Grant Council (Grant No. PolyU 502510, 502111, 501212 and 501913).

On the other hand, motivated by the discussion on positive definiteness of multivariate homogeneous polynomial forms [5-8], in 2005, Qi [9] introduced the concept of positive (semi-)definite symmetric tensors. In the same time, Qi also introduced eigenvalues, H-eigenvalues, E-eigenvalues and Z-eigenvalues for symmetric tensors. It was shown that an even order symmetric tensor is positive (semi-)definite if and only if all of its H-eigenvalues or Z-eigenvalues are positive (nonnegative) [9, Theorem 5]. Beside automatical control, positive semi-definite tensors also found applications in magnetic resonance imaging [10-13] and spectral hypergraph theory [14-16].

The following questions are natural. Can we extend the concept of P and P_0 matrices to P and P_0 tensors? If this can be done, is it true a symmetric tensor is a P (P_0) tensor if and only if it is positive (semi-)definite? Are there any odd order P (P_0) tensors?

In Section 3, we will extend the concept of P and P_0 matrices to P and P_0 tensors. We will show that a symmetric tensor is a P (P_0) tensor if and only if it is positive (semi-)definite. The close relationship between P (P_0) tensors and positive (semi-)definite tensors justifies our research on P and P_0 tensors. We will show that there does not exist an odd order symmetric P tensor. If an odd order non-symmetric P tensor exists, then it has no Z-eigenvalues. An odd order P_0 tensor has no nonzero Z-eigenvalues.

In Section 4, we will further study some properties of P and P_0 tensors. We will show that every principal sub-tensor of a P (P_0) tensor is still a P (P_0) tensor, and give some sufficient and necessary conditions for a tensor to be a P (P_0) tensor.

The class of B matrices is a subclass of P matrices [17, 18]. We will extend the concept of B matrices to B and B_0 tensors in Section 5. It is easily checkable if a given tensor is a B or B_0 tensor or not. We will show that a Z tensor is diagonally dominated if and only if it is a B_0 tensor. It was proved in [19] that a diagonally dominated Z tensor is an M tensor. Laplacian tensors of uniform hypergraphs, defined as a natural extension of Laplacian matrices of graphs, are M tensors [16, 20-23]. This justifies our research on B and B_0 tensors.

Some final remarks will be given in Section 6. The potential links of P, P_0 , B and B_0 tensors with optimization, nonlinear equations, nonlinear complementarity problems, variational inequalities and the nonnegative tensor theory are discussed. These encourage further research on P, P_0 , B and B_0 tensors.

2 Preliminaries

In this section, we will define the notations and collect some basic definitions and facts, which will be used later on.

Denote $I_n := \{1, 2, \dots, n\}$ and $\mathbb{R}^n := \{(x_1, x_2, \dots, x_n)^T; x_i \in \mathbb{R}, i \in I_n\}$, where \mathbb{R} is the

set of real numbers. The definitions of P and P₀ matrices are as follows.

Definition 2.1. Let $A = (a_{ij})$ be an $n \times n$ real matrix. We say that A is

(i) a P₀ matrix iff for any nonzero vector \mathbf{x} in \mathbb{R}^n , there exists $i \in I_n$ such that $x_i \neq 0$ and

$$x_i(A\mathbf{x})_i \geq 0;$$

(ii) a P matrix iff for any nonzero vector \mathbf{x} in \mathbb{R}^n ,

$$\max_{i \in I_n} x_i(A\mathbf{x})_i > 0.$$

A real m th order n -dimensional tensor (hypermatrix) $\mathcal{A} = (a_{i_1 \dots i_m})$ is a multi-array of real entries $a_{i_1 \dots i_m}$, where $i_j \in I_n$ for $j \in I_m$. Denote the set of all real m th order n -dimensional tensors by $T_{m,n}$. Then $T_{m,n}$ is a linear space of dimension n^m . Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in T_{m,n}$. If the entries $a_{i_1 \dots i_m}$ are invariant under any permutation of their indices, then \mathcal{A} is called a **symmetric tensor**. Denote the set of all real m th order n -dimensional tensors by $S_{m,n}$. Then $S_{m,n}$ is a linear subspace of $T_{m,n}$. Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in T_{m,n}$ and $\mathbf{x} \in \mathbb{R}^n$. Then $\mathcal{A}\mathbf{x}^m$ is a homogeneous polynomial of degree m , defined by

$$\mathcal{A}\mathbf{x}^m := \sum_{i_1, \dots, i_m=1}^n a_{i_1 \dots i_m} x_{i_1} \cdots x_{i_m}.$$

A tensor $\mathcal{A} \in T_{m,n}$ is called **positive semi-definite** if for any vector $\mathbf{x} \in \mathbb{R}^n$, $\mathcal{A}\mathbf{x}^m \geq 0$, and is called **positive definite** if for any nonzero vector $\mathbf{x} \in \mathbb{R}^n$, $\mathcal{A}\mathbf{x}^m > 0$. Clearly, if m is odd, there is no nontrivial positive semi-definite tensors.

In the following, we extend the definitions of eigenvalues, H-eigenvalues, E-eigenvalues and Z-eigenvalues of tensors in $S_{m,n}$ in [9] to tensors in $T_{m,n}$.

Denote $\mathbb{C}^n := \{(x_1, x_2, \dots, x_n)^T; x_i \in \mathbb{C}, i \in I_n\}$, where \mathbb{C} is the set of complex numbers. For any vector $\mathbf{x} \in \mathbb{C}^n$, $\mathbf{x}^{[m-1]}$ is a vector in \mathbb{C}^n with its i th component defined as x_i^{m-1} for $i \in I_n$. Let $\mathcal{A} \in T_{m,n}$. If and only if there is a nonzero vector $\mathbf{x} \in \mathbb{C}^n$ and a number $\lambda \in \mathbb{C}$ such that

$$\mathcal{A}\mathbf{x}^{m-1} = \lambda\mathbf{x}^{[m-1]}, \tag{1}$$

then λ is called an **eigenvalue** of \mathcal{A} and \mathbf{x} is called an **eigenvector** of \mathcal{A} , associated with λ . If the eigenvector \mathbf{x} is real, then the eigenvalue λ is also real. In this case, λ and \mathbf{x} are called an **H-eigenvalue** and an **H-eigenvector** of \mathcal{A} , respectively. For an even order symmetric tensor, H-eigenvalues always exist. An even order symmetric tensor is positive (semi-)definite if and only if all of its H-eigenvalues are positive (nonnegative). Let $\mathcal{A} \in T_{m,n}$. If and only if there is a nonzero vector $\mathbf{x} \in \mathbb{C}^n$ and a number $\lambda \in \mathbb{C}$ such that

$$\mathcal{A}\mathbf{x}^{m-1} = \lambda\mathbf{x}, \quad \mathbf{x}^\top \mathbf{x} = 1, \tag{2}$$

then λ is called an **E-eigenvalue** of \mathcal{A} and \mathbf{x} is called an **E-eigenvector** of \mathcal{A} , associated with λ . If the E-eigenvector \mathbf{x} is real, then the E-eigenvalue λ is also real. In this case, λ and \mathbf{x} are called an **Z-eigenvalue** and an **Z-eigenvector** of \mathcal{A} , respectively. For a symmetric tensor, H-eigenvalues always exist. An even order symmetric tensor is positive (semi-)definite if and only if all of its H-eigenvalues or Z-eigenvalues are positive (nonnegative) [9, Theorem 5].

Throughout this paper, we assume that $m \geq 2$ and $n \geq 1$. We use small letters x, u, v, α, \dots , for scalars, small bold letters $\mathbf{x}, \mathbf{y}, \mathbf{u}, \dots$, for vectors, capital letters A, B, \dots , for matrices, calligraphic letters $\mathcal{A}, \mathcal{B}, \dots$, for tensors. All the tensors discussed in this paper are real. We denote the zero tensor in $T_{m,n}$ by \mathcal{O} .

3 P and P₀ Tensors

Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in T_{m,n}$ and $\mathbf{x} \in \mathbb{R}^n$. Then $\mathcal{A}\mathbf{x}^{m-1}$ is a vector in \mathbb{R}^n with its i th component as

$$(\mathcal{A}\mathbf{x}^{m-1})_i := \sum_{i_2, \dots, i_m=1}^n a_{ii_2 \dots i_m} x_{i_2} \cdots x_{i_m}$$

for $i \in I_n$. We now give the definitions of P and P₀ tensors.

Definition 3.1. Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in T_{m,n}$. We say that \mathcal{A} is

(i) a P₀ tensor iff for any nonzero vector \mathbf{x} in \mathbb{R}^n , there exists $i \in I_n$ such that $x_i \neq 0$ and

$$x_i (\mathcal{A}\mathbf{x}^{m-1})_i \geq 0;$$

(ii) a P tensor iff for any nonzero vector \mathbf{x} in \mathbb{R}^n ,

$$\max_{i \in I_n} x_i (\mathcal{A}\mathbf{x}^{m-1})_i > 0.$$

Clearly, this definition is a natural extension of Definition 2.1.

We first prove a proposition.

Proposition 3.1. Let $\mathcal{A} \in S_{m,n}$. If $\mathcal{A}\mathbf{x}^m = 0$ for all $\mathbf{x} \in \mathbb{R}^n$, then $\mathcal{A} = \mathcal{O}$.

Proof. Denote $f(\mathbf{x}) = \mathcal{A}\mathbf{x}^m$. Then $f(\mathbf{x}) = 0$ for all $\mathbf{x} \in \mathbb{R}^n$. This implies all the partial derivatives of f are zero. Since the entries of \mathcal{A} are just some higher order partial derivatives of f , we see that $\mathcal{A} = \mathcal{O}$. \square

We now have the following theorem.

Theorem 3.2. Let $\mathcal{A} \in T_{m,n}$ be a P (P_0) tensor. Then when m is even, all of its H-eigenvalues and Z-eigenvalues of \mathcal{A} are positive (nonnegative). A symmetric tensor is a P (P_0) tensor if and only if it is positive (semi-)definite. There does not exist an odd order symmetric P tensor. If an odd order nonsymmetric P tensor exists, then it has no Z-eigenvalues. An odd order P_0 tensor has no nonzero Z-eigenvalues.

Proof. Let m be even and an H-eigenvalue λ of \mathcal{A} be given. If \mathcal{A} is a P tensor, then by the definition of H-eigenvalues, there is a nonzero $\mathbf{x} \in \mathbb{R}^n$ and a number $\lambda \in \mathfrak{R}$ such that (1) holds. Then by the definition of P tensors, there exists $i \in I_n$ such that

$$0 < x_i(\mathcal{A}\mathbf{x}^{m-1})_i = \lambda x_i^m.$$

Since m is an even number, we have $\lambda > 0$. Similarly, if \mathcal{A} is a P_0 tensor, we may prove that $\lambda \geq 0$. By [9, Theorem 5], if all H-eigenvalues of an even order symmetric tensor are positive (nonnegative), then that tensor is positive (semi-)definite. We see now that an even order symmetric tensor is a P (P_0) tensor only if it is positive (semi-)definite. By the definitions of P (P_0) tensors and positive (semi-)definite tensors, it is easy to see that an even order symmetric tensor is a P (P_0) tensor if it is positive (semi-)definite. Thus, an even order symmetric tensor is a P (P_0) tensor if and only if it is positive (semi-)definite.

Now, let an Z-eigenvalue λ of \mathcal{A} be given. If \mathcal{A} is a P tensor, then by the definition of Z-eigenvalues, there is an $\mathbf{x} \in \mathbb{R}^n$ and a number $\lambda \in \mathfrak{R}$ such that (2) holds. Then by the definition of P tensors, there exists an $i \in I_n$ such that

$$0 < x_i(\mathcal{A}\mathbf{x}^{m-1})_i = \lambda x_i^2.$$

Thus $\lambda > 0$. Note that for this, we do not assume that m is even. However, when m is odd, if λ is a Z-eigenvalue of a tensor in $T_{m,n}$ with a Z-eigenvector \mathbf{x} , by the definition of Z-eigenvalues, $-\lambda$ is also a Z-eigenvalue of that tensor with an Z-eigenvector $-\mathbf{x}$. Thus, if an odd order P tensor exists, then it has no Z-eigenvalues. However, by [9, Theorem 5], a symmetric tensor always has Z-eigenvalues. Thus, an odd order symmetric P-tensor does not exist. Since an odd order symmetric positive definite tensor also does not exist and an even order symmetric tensor is a P tensor if and only if it is positive definite, we conclude that a symmetric tensor is a P tensor if and only if it is positive definite.

Similarly, if \mathcal{A} is a P_0 tensor, we may prove that all of its Z-eigenvalues are nonnegative. When m is odd, this also means that all of its Z-eigenvalues are non-positive. Thus, an odd order P_0 tensor has no nonzero Z-eigenvalues. By [9, Theorem 5], a symmetric tensor always has Z-eigenvalues. Thus, both the largest Z-eigenvalue λ_{\max} and the smallest Z-eigenvalue λ_{\min} of an odd order symmetric P_0 tensor \mathcal{A} are zero. By [9, Theorem 5], we have

$$\lambda_{\max} = \max\{\mathcal{A}\mathbf{x}^m : \mathbf{x}^\top \mathbf{x} = 1\}$$

and

$$\lambda_{\min} = \min\{\mathcal{A}\mathbf{x}^m : \mathbf{x}^\top \mathbf{x} = 1\}.$$

Thus, if \mathcal{A} is an odd order symmetric P_0 tensor, $\mathcal{A}\mathbf{x}^m = 0$ for all $\mathbf{x} \in \mathbb{R}^n$. By Proposition 3.1, this implies that $\mathcal{A} = \mathcal{O}$. By the definition of positive semi-definite tensors, if \mathcal{A} is an odd order symmetric positive semi-definite tensor, then $\mathcal{A} = \mathcal{O}$. Since an even order symmetric tensor is a P_0 tensor if and only if it is positive semi-definite, we conclude that a symmetric tensor is a P_0 tensor if and only if it is positive semi-definite. The theorem is proved. \square

Question 3.1. Is there an odd order non-symmetric P tensor? Is there an odd order nonzero nonsymmetric P_0 tensor?

4 Properties of P and P_0 Tensors

In this section, we will study some properties of P and P_0 tensors. Based on the definition of P matrices, Mathias and Pang [24] introduced a fundamental quantity $\alpha(A)$ corresponding to a P matrix A by

$$\alpha(A) := \min_{\|\mathbf{x}\|_\infty=1} \left\{ \max_{i \in I_n} x_i (A\mathbf{x})_i \right\} \quad (3)$$

and studied its properties and applications. Mathias [25] showed that $\alpha(A)$ has a lower bound that is larger than 0 whenever A is a P matrix. Xiu and Zhang [26] gave some extensions of such a quantity and obtained global error bounds for the vertical and horizontal linear complementarity problems. Also see García-Esnaola, and Peña [27] for the error bounds for linear complementarity problems of B-matrices.

In the following, we will show that every principal sub-tensor of a P (P_0) tensor is still a P (P_0) tensor, and give some sufficient and necessary conditions for a tensor to be a P tensor. Let $\mathcal{A} \in T_{m,n}$. Define an operator $T_{\mathcal{A}} : \mathbb{R}^n \rightarrow \mathbb{R}^n$ by for any $\mathbf{x} \in \mathbb{R}^n$,

$$T_{\mathcal{A}}(\mathbf{x}) := \begin{cases} \|\mathbf{x}\|_2^{2-m} \mathcal{A}\mathbf{x}^{m-1}, & \mathbf{x} \neq \mathbf{0} \\ \mathbf{0}, & \mathbf{x} = \mathbf{0}. \end{cases} \quad (4)$$

When m is even, define another operator $F_{\mathcal{A}} : \mathbb{R}^n \rightarrow \mathbb{R}^n$ by for any $\mathbf{x} \in \mathbb{R}^n$,

$$F_{\mathcal{A}}(\mathbf{x}) := (\mathcal{A}\mathbf{x}^{m-1})^{[\frac{1}{m-1}]}. \quad (5)$$

Here, for a vector $\mathbf{y} \in \mathbb{R}^n$, $\mathbf{y}^{[\frac{1}{m-1}]}$ is a vector in \mathbb{R}^n , with its i th component to be $y_i^{\frac{1}{m-1}}$. When m is even, this is well defined. Then we define two quantities

$$\alpha(T_{\mathcal{A}}) := \min_{\|\mathbf{x}\|_\infty=1} \max_{i \in I_n} x_i (T_{\mathcal{A}}(\mathbf{x}))_i \quad (6)$$

for any m , and

$$\alpha(F_{\mathcal{A}}) := \min_{\|\mathbf{x}\|_{\infty}=1} \max_{i \in I_n} x_i (F_{\mathcal{A}}(\mathbf{x}))_i \quad (7)$$

when m is even. When $m = 2$, $\alpha(T_{\mathcal{A}})$ and $\alpha(F_{\mathcal{A}})$ are simply $\alpha(A)$ defined by (3). We will establish monotonicity and boundedness of such two quantities when \mathcal{A} is a P (P₀) tensor. Furthermore, we will show that \mathcal{A} is a P (P₀) tensor if and only if $\alpha(T_{\mathcal{A}})$ is positive (nonnegative), and when m is even, \mathcal{A} is a P tensor (P₀) if and only if $\alpha(F_{\mathcal{A}})$ is positive (nonnegative).

4.1 Principal Sub-Tensors of P (P₀) Tensors

Recall that a tensor $\mathcal{C} \in T_{m,r}$ is called a **principal sub-tensor** of a tensor $\mathcal{A} = (a_{i_1 \dots i_m}) \in T_{m,n}$ ($1 \leq r \leq n$) iff there is a set J that composed of r elements in I_n such that

$$\mathcal{C} = (a_{i_1 \dots i_m}), \text{ for all } i_1, i_2, \dots, i_m \in J.$$

The concept were first introduced and used in [9] for symmetric tensor. We denote by \mathcal{A}_r^J the principal sub-tensor of a tensor $\mathcal{A} \in T_{m,n}$ such that the entries of \mathcal{A}_r^J are indexed by $J \subset I_n$ with $|J| = r$ ($1 \leq r \leq n$), and denote by \mathbf{x}_J the r -dimensional sub-vector of a vector $\mathbf{x} \in \mathbb{R}^n$, with the components of \mathbf{x}_J indexed by J . Note that for $r = 1$, the principal sub-tensors are just the diagonal entries.

Theorem 4.1. Let \mathcal{A} be a P (P₀) tensor. Then every principal sub-tensor of \mathcal{A} is P (P₀) tensor. In particular, all the diagonal entries of a P (P₀) tensor are positive (nonnegative).

Proof. Let a principal sub-tensor \mathcal{A}_r^J of \mathcal{A} be given. Then for each nonzero vector $\mathbf{x} = (x_{j_1}, \dots, x_{j_r})^{\top} \in \mathbb{R}^r$, we may choose $\mathbf{x}^* = (x_1^*, x_2^*, \dots, x_n^*)^{\top} \in \mathbb{R}^n$ with $x_i^* = x_i$ for $i \in J$ and $x_i^* = 0$ for $i \notin J$. Suppose now that \mathcal{A} is a P tensor, then there exists $j \in I_n$ such that

$$0 < x_j^* (\mathcal{A}(\mathbf{x}^*)^{m-1})_j = x_j (\mathcal{A}_r^J \mathbf{x}_J^{m-1})_j.$$

By the definition of \mathbf{x}^* , we have $j \in J$, and hence \mathcal{A}_r^J is a P tensor. The case for P₀ tensors can be proved similarly. \square

4.2 A Necessary and Sufficient Condition for P Tensors

The following is a sufficient and necessary condition for a tensor to be a P tensor.

Theorem 4.2. Let $\mathcal{A} \in T_{m,n}$. Then \mathcal{A} is a P tensor if and only if for each nonzero $\mathbf{x} \in \mathbb{R}^n$, there exists an n -dimensional positive diagonal matrix $D_{\mathbf{x}}$ such that $\mathbf{x}^{\top} D_{\mathbf{x}} (\mathcal{A} \mathbf{x}^{m-1})$ is positive.

Proof. First, we show the necessity. Take a nonzero $\mathbf{x} \in \mathbb{R}^n$. It follows from the definition of P tensors that there is $k \in I_n$ such that $x_k(\mathcal{A}\mathbf{x}^{m-1})_k > 0$. Then for an enough small $\varepsilon > 0$, we have

$$x_k(\mathcal{A}\mathbf{x}^{m-1})_k + \varepsilon \left(\sum_{j \in I_n, j \neq k} x_j(\mathcal{A}\mathbf{x}^{m-1})_j \right) > 0.$$

Take $D_{\mathbf{x}} = \text{diag}(d_1, d_2, \dots, d_n)$ with $d_k = 1$ and $d_j = \varepsilon$ for $j \neq k$. Then we have

$$\mathbf{x}^\top D_{\mathbf{x}}(\mathcal{A}\mathbf{x}^{m-1}) > 0.$$

Now we show the sufficiency. Assume that for each nonzero $\mathbf{x} \in \mathbb{R}^n$, there exists an n -dimensional diagonal matrix $D_{\mathbf{x}} = \text{diag}(d_1, d_2, \dots, d_n)$ with $d_i > 0$ for all $i \in I_n$ such that

$$0 < \mathbf{x}^\top D_{\mathbf{x}}(\mathcal{A}\mathbf{x}^{m-1}) = \sum_{i=1}^n d_i(x_i(\mathcal{A}\mathbf{x}^{m-1})_i).$$

Since $d_i > 0$ for all $i \in I_n$, there is an $i \in I_n$ such that $x_i(\mathcal{A}\mathbf{x}^{m-1})_i > 0$. Otherwise, $x_i(\mathcal{A}\mathbf{x}^{m-1})_i \leq 0$ for all i . Then $\sum_{i=1}^n d_i(x_i(\mathcal{A}\mathbf{x}^{m-1})_i) \leq 0$, a contradiction. Hence \mathcal{A} is a P tensor.

The desired conclusion follows. \square

4.3 Monotonicity and Boundedness of $\alpha(F_{\mathcal{A}})$ and $\alpha(T_{\mathcal{A}})$

Recall that an operator $T : \mathbb{R}^n \rightarrow \mathbb{R}^n$ is called **positively homogeneous** iff $T(t\mathbf{x}) = tT(\mathbf{x})$ for each $t > 0$ and all $\mathbf{x} \in \mathbb{R}^n$. For $\mathbf{x} \in \mathbb{R}^n$, it is known well that

$$\|\mathbf{x}\|_{\infty} := \max\{|x_i|; i \in I_n\} \text{ and } \|\mathbf{x}\|_2 := \left(\sum_{i=1}^n |x_i|^2 \right)^{\frac{1}{2}}$$

are two main norms defined on \mathbb{R}^n . Then for a continuous, positively homogeneous operator $T : \mathbb{R}^n \rightarrow \mathbb{R}^n$, it is obvious that

$$\|T\|_{\infty} := \max_{\|\mathbf{x}\|_{\infty}=1} \|T(\mathbf{x})\|_{\infty}$$

is an operator norm of T and $\|T(\mathbf{x})\|_{\infty} \leq \|T\|_{\infty} \|\mathbf{x}\|_{\infty}$ for any $\mathbf{x} \in \mathbb{R}^n$. For $\mathcal{A} \in T_{m,n}$, let $T_{\mathcal{A}}$ be defined by (4). When m is even, let $F_{\mathcal{A}}$ be defined by (5). Clearly, both $F_{\mathcal{A}}$ and $T_{\mathcal{A}}$ are continuous and positively homogeneous. The following upper bounds of the operator norm were established by Song and Qi [28].

Lemma 4.1. (Song and Qi [28, Theorem 4.3]) Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in T_{m,n}$. Then

$$(i) \ \|T_{\mathcal{A}}\|_{\infty} \leq \max_{i \in I_n} \left(\sum_{i_2, \dots, i_m=1}^n |a_{ii_2 \dots i_m}| \right);$$

$$(ii) \quad \|F_{\mathcal{A}}\|_{\infty} \leq \max_{i \in I_n} \left(\sum_{i_2, \dots, i_m=1}^n |a_{ii_2 \dots i_m}| \right)^{\frac{1}{m-1}}, \text{ when } m \text{ is even.}$$

Let $\alpha(F_{\mathcal{A}})$ and $\alpha(T_{\mathcal{A}})$ be defined by (7) and (6). We now establish their monotonicity and boundedness. The proof technique is similar to the proof technique of [26, Theorem 1.2]. For completeness, we give the proof here.

Theorem 4.3. Let $\mathcal{D} = \text{diag}(d_1, d_2, \dots, d_n)$ be a nonnegative diagonal tensor in $T_{m,n}$ and $\mathcal{A} = (a_{i_1 \dots i_m})$ be a P_0 tensor in $T_{m,n}$. Then

- (i) $\alpha(T_{\mathcal{A}}) \leq \alpha(T_{\mathcal{A}+\mathcal{D}})$ whenever m is even;
- (ii) $\alpha(T_{\mathcal{A}}) \leq \alpha(T_{\mathcal{A}_r^J})$ for all principal sub-tensors \mathcal{A}_r^J ;
- (iii) $\alpha(F_{\mathcal{A}}) \leq \alpha(F_{\mathcal{A}_r^J})$ for all principal sub-tensors \mathcal{A}_r^J , when m is even;
- (iv) $\alpha(T_{\mathcal{A}}) \leq \max_{i \in I_n} \left(\sum_{i_2, \dots, i_m=1}^n |a_{ii_2 \dots i_m}| \right)$;
- (v) $\alpha(F_{\mathcal{A}}) \leq \max_{i \in I_n} \left(\sum_{i_2, \dots, i_m=1}^n |a_{ii_2 \dots i_m}| \right)^{\frac{1}{m-1}}$, when m is even.

Proof. (i) By the definition of P_0 tensors, clearly $\mathcal{A} + \mathcal{D}$ is a P_0 tensor. Then $\alpha(T_{\mathcal{A}+\mathcal{D}})$ is well-defined. Since m is even, then $x_i^m > 0$ for $x_i \neq 0$, and so

$$\begin{aligned} \alpha(T_{\mathcal{A}}) &= \min_{\|\mathbf{x}\|_{\infty}=1} \left\{ \max_{i \in I_n} x_i (T_{\mathcal{A}}(\mathbf{x}))_i \right\} \\ &= \min_{\|\mathbf{x}\|_{\infty}=1} \left\{ \|\mathbf{x}\|_2^{2-m} \max_{i \in I_n} x_i (\mathcal{A}\mathbf{x}^{m-1})_i \right\} \\ &\leq \min_{\|\mathbf{x}\|_{\infty}=1} \left\{ \|\mathbf{x}\|_2^{2-m} \max_{i \in I_n} \{x_i (\mathcal{A}\mathbf{x}^{m-1})_i + d_i x_i^m\} \right\} \\ &= \min_{\|\mathbf{x}\|_{\infty}=1} \left\{ \max_{i \in I_n} x_i (\|\mathbf{x}\|_2^{2-m} (\mathcal{A} + \mathcal{D})\mathbf{x}^{m-1})_i \right\} \\ &= \min_{\|\mathbf{x}\|_{\infty}=1} \left\{ \max_{i \in I_n} x_i (T_{\mathcal{A}+\mathcal{D}}(\mathbf{x}))_i \right\} \\ &= \alpha(T_{\mathcal{A}+\mathcal{D}}). \end{aligned}$$

(ii) Let a principal sub-tensor \mathcal{A}_r^J of \mathcal{A} be given. Then for each nonzero vector $\mathbf{z} = (z_1, \dots, z_r)^{\top} \in \mathfrak{R}^r$, we may define $\mathbf{y}(\mathbf{z}) = (y_1(\mathbf{z}), y_2(\mathbf{z}), \dots, y_n(\mathbf{z}))^{\top} \in \mathbb{R}^n$ with $y_i(\mathbf{z}) = z_i$ for

$i \in J$ and $y_i(\mathbf{z}) = 0$ for $i \notin J$. Thus $\|\mathbf{z}\|_\infty = \|\mathbf{y}(\mathbf{z})\|_\infty$ and $\|\mathbf{z}\|_2 = \|\mathbf{y}(\mathbf{z})\|_2$. Hence,

$$\begin{aligned}
\alpha(T_{\mathcal{A}}) &= \min_{\|\mathbf{x}\|_\infty=1} \left\{ \max_{i \in I_n} x_i (T_{\mathcal{A}}(\mathbf{x}))_i \right\} \\
&= \min_{\|\mathbf{x}\|_\infty=1} \left\{ \|\mathbf{x}\|_2^{2-m} \max_{i \in I_n} x_i (\mathcal{A}\mathbf{x}^{m-1})_i \right\} \\
&\leq \min_{\|\mathbf{y}(\mathbf{z})\|_\infty=1} \left\{ \|\mathbf{y}(\mathbf{z})\|_2^{2-m} \max_{i \in I_n} \{ \mathbf{y}(\mathbf{z})_i (\mathcal{A}\mathbf{y}(\mathbf{z})^{m-1})_i \} \right\} \\
&= \min_{\|\mathbf{z}\|_\infty=1} \left\{ \max_{i \in I_n} z_i (\|\mathbf{z}\|_2^{2-m} \mathcal{A}_r^J \mathbf{z}^{m-1})_i \right\} \\
&= \min_{\|\mathbf{z}\|_\infty=1} \left\{ \max_{i \in I_n} z_i (T_{\mathcal{A}_r^J}(\mathbf{z}))_i \right\} \\
&= \alpha(T_{\mathcal{A}_r^J}).
\end{aligned}$$

Similarly, we may show (iii).

(iv) Since for each nonzero vector $\mathbf{x} = (x_1, \dots, x_n)^\top \in \mathbb{R}^n$ and each $i \in I_n$,

$$x_i (T_{\mathcal{A}}(\mathbf{x}))_i \leq \|\mathbf{x}\|_\infty \|T_{\mathcal{A}}(\mathbf{x})\|_\infty \leq \|T_{\mathcal{A}}\|_\infty \|\mathbf{x}\|_\infty^2,$$

Then

$$\max_{i \in I_n} x_i (T_{\mathcal{A}}(\mathbf{x}))_i \leq \|T_{\mathcal{A}}\|_\infty \|\mathbf{x}\|_\infty^2.$$

Therefore, we have

$$\alpha(T_{\mathcal{A}}) = \min_{\|\mathbf{x}\|_\infty=1} \left\{ \max_{i \in I_n} x_i (T_{\mathcal{A}}(\mathbf{x}))_i \right\} \leq \|T_{\mathcal{A}}\|_\infty,$$

and hence, by Lemma 4.1, the desired conclusion follows.

Similarly, we may show (v). □

4.4 Necessary and Sufficient Conditions Based Upon $\alpha(F_{\mathcal{A}})$ and $\alpha(T_{\mathcal{A}})$

We now give necessary and sufficient conditions for a tensor $A \in T_{m,n}$ to be a P (P₀) tensor, based upon $\alpha(F_{\mathcal{A}})$ and $\alpha(T_{\mathcal{A}})$.

Theorem 4.4. Let $\mathcal{A} \in T_{m,n}$. Then

- (i) \mathcal{A} is a P (P₀) tensor if and only if $\alpha(T_{\mathcal{A}})$ is positive (nonnegative);
- (ii) when m is even, \mathcal{A} is a P (P₀) tensor if and only if $\alpha(F_{\mathcal{A}})$ is positive (nonnegative).

Proof. We only prove the case for P tensors. The proof for the P_0 tensor case is similar.

(i) Let \mathcal{A} be a P tensor. Then it follows from the definition of P tensors that for each nonzero $\mathbf{x} \in \mathbb{R}^n$,

$$\max_{i \in I_n} x_i (\mathcal{A}\mathbf{x}^{m-1})_i > 0,$$

and so

$$\max_{i \in I_n} x_i (\|\mathbf{x}\|_2^{2-m} \mathcal{A}\mathbf{x}^{m-1})_i = \|\mathbf{x}\|_2^{2-m} \max_{i \in I_n} x_i (\mathcal{A}\mathbf{x}^{m-1})_i > 0.$$

Therefore we have

$$\alpha(T_{\mathcal{A}}) = \min_{\|\mathbf{x}\|_{\infty}=1} \left\{ \max_{i \in I_n} x_i (T_{\mathcal{A}}(\mathbf{x}))_i \right\} > 0.$$

If $\alpha(T_{\mathcal{A}}) > 0$, then it is obvious that for each nonzero $\mathbf{y} \in \mathbb{R}^n$,

$$\max_{i \in I_n} \left(\frac{\mathbf{y}}{\|\mathbf{y}\|_{\infty}} \right)_i \left(T_{\mathcal{A}} \left(\frac{\mathbf{y}}{\|\mathbf{y}\|_{\infty}} \right) \right)_i \geq \alpha(T_{\mathcal{A}}) > 0.$$

Hence,

$$\max_{i \in I_n} y_i (T_{\mathcal{A}}(\mathbf{y}))_i = \max_{i \in I_n} y_i (\|\mathbf{y}\|_2^{2-m} \mathcal{A}\mathbf{y}^{m-1})_i > 0.$$

Thus $y_j (\mathcal{A}\mathbf{y}^{m-1})_j > 0$ for some $j \in I_n$, i.e., \mathcal{A} is a P tensor.

(ii) Assume that m is even.

Let \mathcal{A} be a P tensor. Then for each nonzero $\mathbf{x} \in \mathbb{R}^n$, there exists an $i \in I_n$ such that $x_i (\mathcal{A}\mathbf{x}^{m-1})_i > 0$, and so

$$0 < x_i^{\frac{1}{m-1}} (\mathcal{A}\mathbf{x}^{m-1})_i^{\frac{1}{m-1}} = x_i^{\frac{2-m}{m-1}} (x_i (\mathcal{A}\mathbf{x}^{m-1})_i^{\frac{1}{m-1}}).$$

Since m is even, we have $x_i^{\frac{2-m}{m-1}} > 0$ for $x_i \neq 0$, and so,

$$0 < x_i (\mathcal{A}\mathbf{x}^{m-1})_i^{\frac{1}{m-1}} = x_i (F_{\mathcal{A}}(\mathbf{x}))_i.$$

That is, for each nonzero $\mathbf{x} \in \mathbb{R}^n$, $\max_{i \in I_n} x_i (F_{\mathcal{A}}(\mathbf{x}))_i > 0$. Thus we have

$$\alpha(F_{\mathcal{A}}) = \min_{\|\mathbf{x}\|_{\infty}=1} \left\{ \max_{i \in I_n} x_i (F_{\mathcal{A}}(\mathbf{x}))_i \right\} > 0.$$

If $\alpha(F_{\mathcal{A}}) > 0$, then it is obvious that for each nonzero $\mathbf{y} \in \mathbb{R}^n$,

$$\max_{i \in I_n} \left(\frac{\mathbf{y}}{\|\mathbf{y}\|_{\infty}} \right)_i \left(F_{\mathcal{A}} \left(\frac{\mathbf{y}}{\|\mathbf{y}\|_{\infty}} \right) \right)_i \geq \alpha(F_{\mathcal{A}}) > 0.$$

Hence, there exists a $j \in I_n$ such that

$$y_j (F_{\mathcal{A}}(\mathbf{y}))_j = y_j (\mathcal{A}\mathbf{y}^{m-1})_j^{\frac{1}{m-1}} > 0.$$

Thus

$$y_j^{m-2} (y_j (\mathcal{A}\mathbf{y}^{m-1})_j) = y_j^{m-1} (\mathcal{A}\mathbf{y}^{m-1})_j > 0.$$

Since m is even, we have $y_j^{m-2} > 0$. Hence, $y_j (\mathcal{A}\mathbf{y}^{m-1})_j > 0$, i.e., \mathcal{A} is a P tensor. \square

Question 4.1. For a P matrix P , Mathias [25] showed that $\alpha(A)$ has a strictly positive lower bound. Then for a P tensor $\mathcal{A} \in T_{m,n}$ ($m > 2$), does $\alpha(F_{\mathcal{A}})$ or $\alpha(T_{\mathcal{A}})$ have a strictly positive lower bound?

Question 4.2. It is well-known that A is a P matrix if and only if the linear complementarity problem

$$\text{find } \mathbf{z} \in \mathbb{R}^n \text{ such that } \mathbf{z} \geq \mathbf{0}, \mathbf{q} + A\mathbf{z} \geq \mathbf{0}, \text{ and } \mathbf{z}^\top(\mathbf{q} + A\mathbf{z}) = 0$$

has a unique solution for all $\mathbf{q} \in \mathbb{R}^n$. Then for a P tensor $\mathcal{A} \in T_{m,n}$ ($m > 2$), does a similar property hold for the following nonlinear complementarity problem

$$\text{find } \mathbf{x} \in \mathbb{R}^n \text{ such that } \mathbf{x} \geq \mathbf{0}, \mathbf{q} + \mathcal{A}\mathbf{x}^{m-1} \geq \mathbf{0}, \text{ and } \mathbf{x}^\top(\mathbf{q} + \mathcal{A}\mathbf{x}^{m-1}) = 0?$$

5 B and B_0 Tensors

An n -dimensional B matrix $B = (b_{ij})$ is a square real matrix with its entries satisfying that for all $i \in I_n$

$$\sum_{j=1}^n b_{ij} > 0 \text{ and } \frac{1}{n} \sum_{j=1}^n b_{ij} > b_{ik}, \quad i \neq k.$$

Many nice properties and applications of such B matrices have been studied by Peña [17, 18]. It was proved that B matrices are a subclass of P matrices in [17].

As a natural extension of B matrices, we now give the definition of B and B_0 tensors.

Definition 5.1. Let $\mathcal{B} = (b_{i_1 \dots i_m}) \in T_{m,n}$. We say that \mathcal{B} is a B tensor iff for all $i \in I_n$

$$\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} > 0$$

and

$$\frac{1}{n^{m-1}} \left(\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} \right) > b_{ij_2 j_3 \dots j_m} \text{ for all } (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i).$$

We say that \mathcal{B} is a B_0 tensor iff for all $i \in I_n$

$$\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} \geq 0$$

and

$$\frac{1}{n^{m-1}} \left(\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} \right) \geq b_{ij_2 j_3 \dots j_m} \text{ for all } (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i).$$

Unlike P and P_0 tensors, it is easily checkable if a given tensor in $T_{m,n}$ is a B or B_0 tensor or not.

5.1 Entries of B and B₀ Tensors

We first study some properties of entries of B and B₀ tensors.

Theorem 5.1. Let $\mathcal{B} = (b_{i_1 \dots i_m}) \in T_{m,n}$. If \mathcal{B} is a B tensor, then for each $i \in I_n$,

$$b_{ii \dots i} > \max\{0, b_{ij_2 j_3 \dots j_m}; (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i), j_2, j_3, \dots, j_m \in I_n\}.$$

If \mathcal{B} is a B₀ tensor, then for each $i \in I_n$,

$$b_{ii \dots i} \geq \max\{0, b_{ij_2 j_3 \dots j_m}; (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i), j_2, j_3, \dots, j_m \in I_n\}.$$

Proof. Suppose that $\mathcal{B} \in T_{m,n}$ is a B tensor. By Definition 5.1 that for all $i \in I_n$

$$\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} > 0 \quad (8)$$

and

$$\frac{1}{n^{m-1}} \left(\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} \right) > b_{ij_2 j_3 \dots j_m} \text{ for all } (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i). \quad (9)$$

Let $b_{ik_2 k_3 \dots k_m} = \max\{b_{ij_2 j_3 \dots j_m} : (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i)\}$. Then it follows from (9) that

$$\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} > n^{m-1} b_{ik_2 k_3 \dots k_m} \geq b_{ik_2 k_3 \dots k_m} + \sum_{(j_2, j_3, \dots, j_m) \neq (i, i, \dots, i)} b_{ij_2 j_3 \dots j_m}.$$

Thus

$$b_{iii \dots i} > b_{ik_2 k_3 \dots k_m} = \max\{b_{ij_2 j_3 \dots j_m} : (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i)\}.$$

Therefore, $b_{iii \dots i} > 0$. In fact, suppose $b_{iii \dots i} \leq 0$. Then $\max\{b_{ij_2 j_3 \dots j_m} : (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i)\} < b_{iii \dots i} \leq 0$, which implies that

$$\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} \leq 0.$$

This contradicts to (8). The case for B₀ tensors can be proved similarly. \square

Let $\mathcal{B} = (b_{i_1 \dots i_m}) \in T_{m,n}$. For each $i \in I_n$, define

$$\beta_i(\mathcal{B}) = \max\{0, b_{ij_2 j_3 \dots j_m}; (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i), j_2, j_3, \dots, j_m \in I_n\}. \quad (10)$$

With the help of the quantity $\beta_i(\mathcal{B})$, we will study further the properties of B tensors.

Theorem 5.2. Let $\mathcal{B} = (b_{i_1 \dots i_m}) \in T_{m,n}$. Then \mathcal{B} is B tensor if and only if for each $i \in I_n$,

$$\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} > n^{m-1} \beta_i(\mathcal{B});$$

and \mathcal{B} is B_0 tensor if and only if for each $i \in I_n$,

$$\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} \geq n^{m-1} \beta_i(\mathcal{B}).$$

Proof. Since $\beta_i(\mathcal{B}) \geq 0$, the desired conclusion directly follows from Definition 5.1. \square

Theorem 5.3. Let $\mathcal{B} = (b_{i_1 \dots i_m}) \in T_{m,n}$. If \mathcal{B} is a B tensor, then for each $i \in I_n$,

$$(i) \quad b_{ii \dots i} > \sum_{b_{ii_2 \dots i_m} < 0} |b_{ii_2 i_3 \dots i_m}|;$$

$$(ii) \quad b_{ii \dots i} > |b_{ij_2 j_3 \dots j_m}| \text{ for all } (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i), j_2, j_3, \dots, j_m \in I_n.$$

If \mathcal{B} is a B_0 tensor, then (i) and (ii) hold with “>” being replaced by “ \geq ”.

Proof. Suppose that \mathcal{B} is a B tensor. (i) It follows from Proposition 5.2 that for each $i \in I_n$

$$b_{ii \dots i} - \beta_i(\mathcal{B}) > \sum_{(j_2, j_3, \dots, j_m) \neq (i, i, \dots, i)} (\beta_i(\mathcal{B}) - b_{ij_2 j_3 \dots j_m}). \quad (11)$$

It follows from Definition 5.1 that for all $i \in I_n$,

$$\beta_i(\mathcal{B}) \geq 0 \text{ and } \beta_i(\mathcal{B}) - b_{ij_2 j_3 \dots j_m} \geq 0 \text{ for all } (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i).$$

Then for all $b_{ii_2 i_3 \dots i_m} < 0$,

$$\beta_i(\mathcal{B}) - b_{ii_2 i_3 \dots i_m} \geq |b_{ii_2 i_3 \dots i_m}|$$

and

$$b_{ii \dots i} \geq b_{ii \dots i} - \beta_i(\mathcal{B}).$$

So by (11), we have

$$b_{ii \dots i} > \sum_{(j_2, j_3, \dots, j_m) \neq (i, i, \dots, i)} (\beta_i(\mathcal{B}) - b_{ij_2 j_3 \dots j_m}) \geq \sum_{b_{ii_2 \dots i_m} < 0} |b_{ii_2 i_3 \dots i_m}|.$$

(ii) is an obvious conclusion by combining Theorem 5.1 with (i).

The case for B_0 tensors can be proved similarly. \square

5.2 Principal Sub-Tensors of B and B₀ Tensors

We now show that every principal sub-tensor of a B (B₀) tensor is a B (B₀) tensor.

Theorem 5.4. Let $\mathcal{B} = (b_{i_1 \dots i_m}) \in T_{m,n}$. If \mathcal{B} is a B (B₀) tensor, then every principal sub-tensor of \mathcal{B} is also a B (B₀) tensor.

Proof. Suppose that \mathcal{B} is a B tensor. Let a principal sub-tensor \mathcal{B}_r^J of \mathcal{B} be given. Then it follows from Theorem 5.3 (i) that for all $i \in J$,

$$\sum_{i_2, \dots, i_m \in J} b_{ii_2 i_3 \dots i_m} > 0.$$

Now it suffices to show that for all $i \in J$,

$$\sum_{i_2, \dots, i_m \in J} b_{ii_2 i_3 \dots i_m} > r^{m-1} b_{ij_2 \dots j_m} \text{ for all } (j_2, j_3, \dots, j_m) \neq (i, i, \dots, i), j_2, \dots, j_m \in J.$$

Suppose not. Then there is (i, j_2, \dots, j_m) such that $i, j_2, \dots, j_m \in J$ and

$$\sum_{i_2, \dots, i_m \in J} b_{ii_2 i_3 \dots i_m} \leq r^{m-1} b_{ij_2 \dots j_m}.$$

Let $b_{ik_2 k_3 \dots k_m} = \max\{b_{ii_2 i_3 \dots i_m}; (i_2, i_3, \dots, i_m) \neq (i, i, \dots, i) \text{ and } i_2, i_3, \dots, i_m \in I_n\}$. Then $b_{ik_2 k_3 \dots k_m} \geq b_{ij_2 \dots j_m}$. Hence,

$$\begin{aligned} n^{m-1} b_{ik_2 k_3 \dots k_m} &\geq r^{m-1} b_{ik_2 k_3 \dots k_m} + \sum \{b_{ii_2 i_3 \dots i_m} : \text{not all of } i_2, \dots, i_m \text{ are in } J\} \\ &\geq r^{m-1} b_{ij_2 j_3 \dots j_m} + \sum \{b_{ii_2 i_3 \dots i_m} : \text{not all of } i_2, \dots, i_m \text{ are in } J\} \\ &\geq \sum_{i_2, \dots, i_m \in J} b_{ii_2 i_3 \dots i_m} + \sum \{b_{ii_2 i_3 \dots i_m} : \text{not all of } i_2, \dots, i_m \text{ are in } J\} \\ &= \sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m}. \end{aligned}$$

Thus

$$\frac{1}{n^{m-1}} \left(\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} \right) \leq b_{ik_2 k_3 \dots k_m},$$

which obtains a contradiction since \mathcal{B} is a B tensor.

The case for B₀ tensors can be proved similarly. □

5.3 The Relationship with M Tensors

Recall that a tensor $\mathcal{A} = (a_{i_1 \dots i_m}) \in T_{m,n}$ is called a Z tensor iff all of its off-diagonal entries are non-positive, i.e., $a_{i_1 \dots i_m} \leq 0$ when never $(i_1, \dots, i_m) \neq (i, \dots, i)$ [19]; \mathcal{A} is called diagonally dominated iff for all $i \in I_n$,

$$a_{i \dots i} \geq \sum \{|a_{ii_2 \dots i_m}| : (i_2, \dots, i_m) \neq (i, \dots, i), i_j \in I_n, j = 2, \dots, m\};$$

\mathcal{A} is called strictly diagonally dominated iff for all $i \in I_n$,

$$a_{i \dots i} > \sum \{|a_{ii_2 \dots i_m}| : (i_2, \dots, i_m) \neq (i, \dots, i), i_j \in I_n, j = 2, \dots, m\}.$$

It was proved in [19] that a diagonally dominated Z tensor is an M tensor, and a strictly diagonally dominated Z tensor is a strong M tensor. The definition of M tensors may be found in [19, 29]. Strong M tensors are called nonsingular tensors in [29]. Laplacian tensors of uniform hypergraphs, defined as a natural extension of Laplacian matrices of graphs, are M tensors [16, 20-23].

Now we give the properties of a B (B_0) tensor under the condition that it is a Z tensor.

Theorem 5.5. Let $\mathcal{B} = (b_{i_1 i_2 i_3 \dots i_m}) \in T_{m,n}$ be a Z tensor. Then the following properties are equivalent:

- (i) \mathcal{B} is B (B_0) tensor;
- (ii) for each $i \in n$, $\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m}$ is positive (nonnegative);
- (iii) \mathcal{B} is strictly diagonally dominant (diagonally dominated).

Proof. We now prove the case for B tensors. The proof for the B_0 tensor case is similar.

It follows from Definition 5.1 that (i) implies (ii).

Since \mathcal{B} be a Z tensor, all of its off-diagonal entries are non-positive. Thus, for any of its off-diagonal entry $b_{ii_2 \dots i_m}$, we have $|b_{ii_2 i_3 \dots i_m}| = -b_{ii_2 i_3 \dots i_m}$. Thus, (ii) means that for $i \in I_n$,

$$\begin{aligned} b_{iii \dots i} &> - \sum \{b_{ii_2 i_3 \dots i_m} : (i_2, \dots, i_m) \neq (i, \dots, i), i_j \in I_n, j = 2, \dots, m\} \\ &= \sum \{|b_{ii_2 i_3 \dots i_m}| : (i_2, \dots, i_m) \neq (i, \dots, i), i_j \in I_n, j = 2, \dots, m\} \\ &\geq 0. \end{aligned}$$

Thus, (ii) implies (iii).

From (iii), it is obvious that for each $i \in I_n$,

$$\sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} > 0.$$

Since all the off-diagonal entries of \mathcal{B} are non-positive, we have

$$\frac{1}{n^{m-1}} \sum_{i_2, \dots, i_m=1}^n b_{ii_2 i_3 \dots i_m} > 0 \geq b_{ii_2 i_3 \dots i_m} \text{ for all } (i_2, \dots, i_m) \neq (i, \dots, i).$$

This shows that (iii) implies (i). □

From this theorem, we see that if a Z tensor is also a B_0 (B) tensor, then it is a (strong) M tensor.

Question 5.1. When $m = 2$, it is known that each B matrix is a P matrix. If m is odd, in general, a B (B_0) tensor is not a P (P_0) tensor. For example, let $a_{i\dots i} = 1$ and $a_{i_1\dots i_m} = 0$ otherwise. Then $\mathcal{A} = (a_{i_1\dots i_m})$ is the identity tensor. When m is odd, the identity tensor is a B tensor, but not a P or P_0 tensor. But we still make ask, when $m \geq 4$ and is even, is a B (B_0) tensor a P (P_0) tensor?

Question 5.2. A symmetric P (P_0) tensor is positive (semi-)definite. When $m \geq 4$ and is even, is a symmetric B (B_0) tensor positive (semi-)definite? If the answer is “yes” to this question, then we will have another checkable sufficient condition for positive (semi-)definite tensors.

Question 5.3. What are the spectral properties of a B (B_0) tensor?

6 Perspectives

In this paper, we make an initial study on P, P_0 , B and B_0 tensors. We see that they are linked with positive (semi-)definite tensors and M tensors, which are useful in automatical control, magnetic resonance imaging and spectral hypergraph theory. The six questions at the ends of Sections 3-5 pointed out some further research directions.

In the following, we point out the potential links between the above results and optimization, nonlinear equations, nonlinear complementarity problems, variational inequalities and the non-negative tensor theory.

(i). The potential link between the above results and optimization, nonlinear equations, nonlinear complementarity problems and variational inequalities. Question 4.2 has also pointed out the potential link between P tensor and nonlinear complementarity problems. We may also consider the optimization problem

$$\min\{\mathcal{A}\mathbf{x}^m + \mathbf{q}^\top \mathbf{x}\},$$

the nonlinear equations [30]

$$\mathcal{A}\mathbf{x}^{m-1} = \mathbf{q}$$

and the variational inequality problem [3, 4]

$$\text{find } \mathbf{x}_* \in X, \text{ such that } (\mathbf{x} - \mathbf{x}_*)^\top \mathcal{A}\mathbf{x}_*^{m-1} \geq 0, \text{ for all } \mathbf{x} \in X,$$

where X is a nonempty closed subset of \mathbb{R}^n . When \mathcal{A} is a P, P_0 , B or B_0 tensor, what properties we can obtain for the above problems?

(ii). The potential link between the above results and the non-negative tensor theory. The non-negative tensor theory at least include two parts: the non-negative tensor decomposition [31] and the spectral theory of non-negative tensors [32]. The recent paper [33] linked these two parts. However, there are still many questions not answered in non-negative tensors. In the non-negative matrix theory [34], a doubly non-negative matrix is a positive semi-definite, non-negative matrix. The research on positive semi-definite, non-negative tensors is very little. Thus, we may ask a question weaker than Question 5.2:

Question 6.1. When $m \geq 4$ and is even, is a symmetric nonnegative B (B_0) tensor positive (semi-)definite? If the answer is “yes” to this question, then we will have more understanding on positive semi-definite, non-negative tensors.

We may also ask the following question:

Question 6.2. What is the relation between non-negative B (B_0) tensors and completely positive tensors introduced in [33]?

In a word, this paper is only an initial study on P, P_0 , B and B_0 tensors. Many questions for these tensors are waiting for answers.

It should be pointed out that after the first version of this paper, two more papers [35, 36] on P, P_0 , B and B_0 tensors appeared. In [35], it was proved that an even order symmetric B_0 tensor is positive semi-definite and an even order symmetric B tensor is positive definite. Some further properties of P, P_0 , B and B_0 tensors were obtained in [36]. These answered some questions raised in this paper and enriched the theory of P, P_0 , B and B_0 tensors.

7 Conclusions

In this paper, we extend some classes of structured matrices to higher order tensors. We discuss their relationships with positive semi-definite tensors and some other structured tensors. We show that every principal sub-tensor of such a structured tensor is still a structured tensor in the same class, with a lower dimension. The potential links and applications of such structured tensors are also discussed.

There are more research topics on structured tensors. In particular, can one construct an efficient algorithm to compute the extreme eigenvalues of a special structured tensor, other than the largest eigenvalue of a nonnegative tensor? It is well-known [32] that there are efficient algorithms for computing the largest eigenvalue of a nonnegative tensor. Until now, there are no polynomial-time algorithms for computing extreme eigenvalues of structured tensors in the other cases. The first challenging problem is to construct an efficient algorithm to compute the smallest real eigenvalue of a Hilbert tensor [37], with the condition that such

a real eigenvalue has a real eigenvector. A further challenging problem is to address the above problem for a Cauchy tensor [38] instead of a Hilbert tensor. Note that the Hilbert tensor is a special case of the Cauchy tensor [38].

Acknowledgment

The authors would like to thank the anonymous referees for their valuable suggestions which helped us to improve this manuscript.

References

1. Fiedler, M., Pták, V.: On matrices with non-positive off-diagonal elements and positive principal minors. *Czechoslovak Mathematical J.* 12, 163-172 (1962)
2. Cottle, R.W., Pang, J.S., Stone, R.E.: *The Linear Complementarity Problem*. Academic Press, Boston (1992)
3. Facchinei, F., Pang, J.S.: *Finite Dimensional Variational Inequalities and Complementarity Problems*. Springer, New York (2003)
4. Qi, L., Sun, D., Zhou, G.: A new look at smoothing Newton methods for nonlinear complementarity problems and box constrained variational inequalities. *Mathematical Programming* 87, 1-35 (2000)
5. Bose, N.K., Modares, A.R.: General procedure for multivariable polynomial positivity with control applications. *IEEE Trans. Automat. Contr.* AC21, 596-601 (1976)
6. Hasan, M.A., Hasan, A.A.: A procedure for the positive definiteness of forms of even-order. *IEEE Trans. Automat. Contr.* 41, 615-617 (1996)
7. Jury, E.I., Mansour, M.: Positivity and nonnegativity conditions of a quartic equation and related problems. *IEEE Trans. Automat. Contr.* AC26, 444-451 (1981)
8. Wang, F., Qi, L.: Comments on ‘Explicit criterion for the positive definiteness of a general quartic form’. *IEEE Trans. Automat. Contr.* 50, 416- 418 (2005)
9. Qi, L.: Eigenvalues of a real supersymmetric tensor. *J. Symbolic Comput.* 40, 1302-1324 (2005)
10. Chen, Y., Dai, Y., Han, D., Sun, W.: Positive semidefinite generalized diffusion tensor imaging via quadratic semidefinite programming. *SIAM J. Imaging Sci.* 6, 1531-1552 (2013)

11. Hu, S., Huang, Z., Ni, H., Qi, L.: Positive definiteness of diffusion kurtosis imaging. *Inverse Problems and Imaging* 6, 57-75 (2012)
12. Qi, L., Yu, G., Wu, E.X.: Higher order positive semi-definite diffusion tensor imaging. *SIAM J. Imaging Sci.* 3, 416-433 (2010)
13. Qi, L., Yu, G., Xu, Y.: Nonnegative diffusion orientation distribution function. *J. Math. Imaging Vision* 45, 103-113 (2013)
14. Hu, S., Qi, L.: Algebraic connectivity of an even uniform hypergraph. *J. Comb. Optim.* 24, 564-579 (2012)
15. Li, G., Qi, L., Yu, G.: The Z-eigenvalues of a symmetric tensor and its application to spectral hypergraph theory. *Numer. Linear Algebra Appl.* 20, 1001-1029 (2013)
16. Qi, L.: H^+ -eigenvalues of Laplacian and signless Laplacian tensors. *Commun. Math. Sci.* 12, 1045-1064 (2014)
17. Peña, J.M.: A class of P-matrices with applications to the localization of the eigenvalues of a real matrix. *SIAM J. Matrix Analysis and Applications* 22, 1027-1037 (2001)
18. Peña, J.M.: On an alternative to Gerschgorin circles and ovals of Cassini. *Numerische Mathematik* 95, 337-345 (2003)
19. Zhang, L., Qi, L., Zhou, G.: M-tensors and some applications. *SIAM J. Matrix Anal. Appl.* 35(2), 437-452 (2014)
20. Hu, S., Qi, L.: The eigenvectors associated with the zero eigenvalues of the Laplacian and signless Laplacian tensors of a uniform hypergraph. *Discrete Appl. Math.* 169, 140-151 (2014)
21. Hu, S., Qi, L., Shao, J.: Cored hypergraphs, power hypergraphs and their Laplacian eigenvalues. *Linear Algebra Appl.* 439, 2980-2998 (2013)
22. Hu, S., Qi, L., Xie, J.: The largest Laplacian and signless Laplacian eigenvalues of a uniform hypergraph. *arXiv:1304.1315*, (2013)
23. Qi, L., Shao, J., Wang, Q.: Regular uniform hypergraphs, s -cycles, s -paths and their largest Laplacian H-eigenvalues. *Linear Algebra Appl.* 443, 215-227 (2014)
24. Mathias, R., Pang, J.S.: Error bounds for the linear complementarity problem with a P-matrix. *Linear Algebra Appl.* 132, 123-136 (1990)

25. Mathias, R.: An improved bound for a fundamental constant associated with a P-matrix, *Appl. Math. Lett.* 2, 297-300 (1989)
26. Xiu, N., Zhang, J.: A characteristic quantity of P-matrices. *Appl. Math. Lett.* 15, 41-46 (2002)
27. García-Esnaola, M., Peña, J.M.: Error bounds for linear complementarity problems for B-matrices. *Appl. Math. Lett.* 22, 1071-1075 (2009)
28. Song, Y., Qi, L.: Spectral properties of positively homogeneous operators induced by higher order tensors. *SIAM J. Matrix Anal. Appl.* 34, 1581-1595 (2013)
29. Ding, W., Qi, L., Wei, Y.: M-tensors and nonsingular M-tensors. *Linear Algebra Appl.* 439, 3264-3278 (2013)
30. Ortega, J.M., Rheinboldt, W.C.: *Iterative Solutions of Nonlinear Equations in Several Variables*. Academic Press, New York (1970)
31. Cichocki, A., Zdunek, R., Phan, A.H., Amari, S.: *Nonnegative Matrix and Tensor Factorizations: Applications to Exploratory Multiway Data Analysis and Blind Source Separation*. Wiley, New York (2009)
32. Chang, K.C., Qi, L., Zhang, T.: A survey on the spectral theory of nonnegative tensors. *Numer. Linear Algebra Appl.* 20, 891-912 (2013)
33. Qi, L., Xu, C., Xu, Y.: Nonnegative tensor factorization, completely positive tensors and an hierarchically elimination algorithm. to appear in: *SIAM J. Matrix Anal. Appl.*
34. Berman, A., Plemmons, R.J.: *Nonnegative Matrices in the Mathematical Sciences*. SIAM, Philadelphia (1994)
35. Qi, L., Song, Y.: An even order symmetric B tensor is positive definite. *Linear Algebra Appl.* 457, 303-312 (2014)
36. Yuan, P., You, L.: Some remarks on P, P_0 , B and B_0 tensors. arXiv:1402.1288, (2014)
37. Song, Y., Qi, L.; Infinite and finite dimensional Hilbert tensors. *Linear Algebra Appl.* 451, 1-14 (2014)
38. Chen, H., Qi, L.: Positive definiteness and semi-definiteness of even order symmetric Cauchy tensors. arXiv:1405.6363, (2014)