

Visit to Securities & Futures Commission (16th November 2009)



On 16th November 2009 morning, 41 Investment Science students visited Securities & Futures Commission (SFC) at Central for a seminar on “Overview of SFC”. The seminar was conducted by Mr. Joseph Lee, Senior Manager of SFC External Relations. During the seminar, Mr. Lee introduced the role of SFC, the organization structure, SFC’s regulatory role, works and operations. Apart from an informative presentation, he also showed us some educational videos on healthy investment attitude and behaviors.



Among the video clips, perhaps, one of the most reflective clips for students was the winning video of “Investment Story Competition 2009”, which portrayed an undergraduate student tempted to make quick money from the stock market. Without knowing anything more than its trading code, the character put the government loan for his tuition on a single stock, landing him in a financial trouble.

By the end of the seminar, students raised several questions on the career opportunities and development at SFC. Mr. Lee conveyed that, although SFC as a regulatory body, majority of the staff are experienced legal executives and lawyers, SFC does have new hires on fresh graduates from various disciplines. SFC Human Resources

Department also visits local universities for talks and recruitment events.

The visit lasted for almost 2 hours from 11:00 a.m. to 12:50 noon. Students had an enjoyable morning, gained better understanding about SFC as well as advice on “wise” investment.

